



# Copernicus Sentinel-3 Surface Topography Mission - Cyclic Performance Report

## SEA ICE

<p>S3A Cycle No. 100 Start date: 10/06/2023 End date: 07/07/2023</p>	<p>S3B Cycle No. 81 Start date: 20/06/2023 End date: 17/07/2023</p>
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S3A  
Cycle No. 100  
Start date: 10/06/2023  
End date: 07/07/2023

S3B  
Cycle No. 81  
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Program of the European Union

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## CHRONOLOGY ISSUES

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1.0	25/07/2023	Creation	F.Piras	J. Aublanc	G. Jettou

## ACCEPTANCE

	CLIENT: ESA	SUPPLIER: CLS
Name	Pierre Féménias	Ghita Jettou
Function	ESA Technical Officer	MPC Service Manager

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# 1 Introduction

The purpose of this document is to report on the performance and data quality of the Copernicus Sentinel-3 Surface Topography Mission (STM) LAND products. The constellation currently includes Sentinel-3A and Sentinel-3B altimetry satellites. This document is associated with data dissemination on a cyclic basis and is generated a few days after the end of Sentinel-3B cycle.

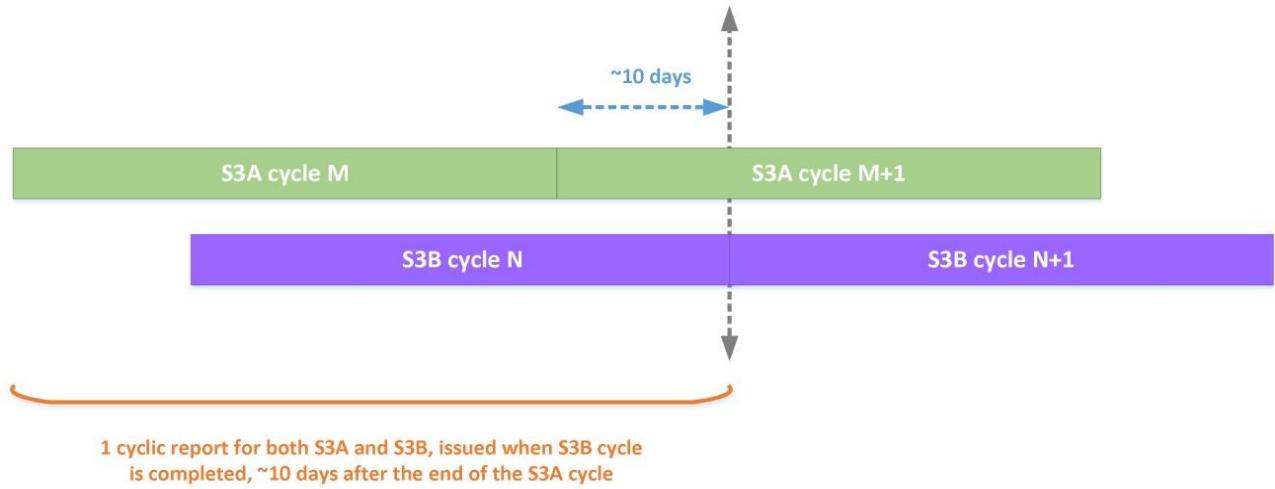


Figure 1: S3A and S3B cycles chronology

The Level-2 products assessed hereafter are produced by the ESA Sentinel-3 LAND Processing Centre. One of the main goals of the cyclic report is to detect and report as quickly as possible any events, or anomaly, impacting the data quality. Subsequently, the assessments are made on the Short Time Critical (STC) products, generally delivered 48 hours after data acquisitions. Differences are expected with the Non Time Critical (NTC) products, for which the orbit data and several geophysical corrections are consolidated.

The main objectives of this document are:

- To provide a data quality assessment of the Sentinel-3 Level-2 STC LAND products.
- To report on any changes likely to impact data quality at any level, from instrument status to software configuration.
- To present the major useful results for S3A cycle 100, from 10/06/2023 to 07/07/2023.
- To present the major useful results for S3B cycle 81, from 20/06/2023 to 17/07/2023.

## 2 Cycle overview

Note that the period covered by the S3A and S3B cycles in this report have an offset of 10-days and their orbits are 140 degrees out of phase. Differences in parameters are therefore expected, particularly over sea ice due to the wind induced drift of the sea ice during this period.

The delay-Doppler/SAR processing is currently not fully optimised for sea ice (no zero padding or hamming weighting is applied), and hence users should be aware that freeboard accuracy is sub-optimal in this cycle.

The following table summarizes the behaviour during the cycle with the main characteristics in terms of orbit, auxiliary data, geophysical corrections and geophysical parameters.

Parameter:	Sentinel-3A	Sentinel-3B
Orbit	No missing tracks	No missing tracks
Availability of geophysical corrections	Nominal geophysical corrections availability	Nominal geophysical corrections availability
Availability of auxiliary data	Nominal auxiliary data availability	Nominal auxiliary data availability
Instrumental modes	Nominal instrumental modes	Nominal instrumental modes
Geophysical parameters	Nominal geophysical corrections availability	Nominal geophysical corrections availability
Specific investigations		
Status	Nominal mission performances on this cycle	Nominal mission performances on this cycle

Table 1: General overview of the data availability and mission performances for the S3A and S3B cycles evaluated

### Color legend:



Please note that Ice Shelves have not been edited for the Antarctic statistics, which has an impact on the following:

- The sea ice concentration availability is lower on the Antarctic than the Arctic (Table 5).
- Visible Ice shelves on the Sea Ice Concentration NaN maps (Figure 33) and SSHA Antarctic maps (Figure 27 and Figure 28 )

## 3 Processing baseline

Table 2 details the versions of the Processing Baseline (PB), and Level-1 and Level-2 Instrument Processing Facility software used for the products assessed. This is part of the Baseline Collection (BC) 004.

	Cycle	Processing Baseline	IPF SM2 version	IPF SR1 version	IPF MW1 version
Sentinel-3A	100	3.05	06.20	06.20	06.13
Sentinel-3B	81				

Table 2: Processing baseline and IPF details

The evolutions of the Sentinel-3 STM Processing Baseline since July 2016, end of commissioning phase, are summarized in the “Sentinel Online” Web pages:

<https://sentinels.copernicus.eu/web/sentinel/technical-guides/sentinel-3-altimetry/processing-baseline>

## 4 Data availability and missing measurements

### 4.1 Orbit coverage

	Cycle	Product Type	Latency	% Tracks received	Missing tracks
Sentinel-3A	100	SR_2_LAN	STC	100%	/
Sentinel-3B	81	SR_2_LAN	STC	100%	/

Table 3 : Orbit coverage pour Sentinel-3A and Sentinel-3B

### 4.2 Availability of geophysical corrections

Data have been selected over ocean, where the flag *surf\_type\_01* is set to 0.

<i>surf_type_01</i>	surface type: 1 Hz 0: ocean_or_semi_enclosed_sea   1: enclosed_sea_or_lake 2: continental_ice   3: land
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Over sea ice the model dry tropospheric, model wet tropospheric, ionospheric, solid earth tide, pole tide, ocean tide, inverse barometric and ionospheric corrections are available in the STC L2 product.

Correction	% Availability Sentinel-3A	% Availability Sentinel-3B
hf_fluct_cor_01	100	100
inv_bar_cor_01	100	100
ocean_tide_non_eq_01	100	100
ocean_tide_sol2_01	99.97	99.97
ocean_tide_sol1_01	100	99.89
pole_tide_01	100	100
load_tide_sol2_01	100	100
load_tide_sol1_01	100	100
solid_earth_tide_01	100	100
iono_cor_gim_01_ku	100	100
mod_wet_tropo_cor_meas_altitude_01	100	100
mod_dry_tropo_cor_meas_altitude_01	100	100

Table 4 : Availability percentage of Geophysical Corrections over Sea Ice). Green=100%; Orange= Between 80% and 100%; Red <80%

## 4.3 Availability of auxiliary data

Correction	% Availability Sentinel-3A		% Availability Sentinel-3B	
	Arctic	Antarctic	Arctic	Antarctic
sea ice concentration	96.971	87.944	96.9628	87.9046
snow density	100	100	100	100

Table 5 : Availability percentage of Snow Density and Sea Ice Concentration over Sea Ice

Note that the Snow Density is set to a single value of 400 Kg/m3 in the current version of the IPF.

Snow depth over Antarctic Sea-Ice is set to zero in the current version of the IPF.

Sea Ice Concentration is derived from a dynamic 3-day average of sea ice concentration calculated from SSM/I daily brightness temperature data.

## 4.4 SRAL instrument modes (`mode_id_20_ku` and `instr_op_mode_20_ku`)

This section focuses on the SRAL processing mode (`instr_op_mode_20_ku`), and the SRAL on-board tracking mode (`mode_id_20_ku`). The following fields from the SR\_2\_LAN Level 2 STC products have been used:

<code>surf_type_20_ku</code>	<b>surface type: 20 Hz Ku band</b> 0: ocean_or_semi_enclosed_sea   1: enclosed_sea_or_lake 2: continental_ice   3: land
<code>mode_id_20_ku</code>	<b>Mode identifier: 20 Hz Ku band</b> 0: closed_loop   1: open_loop   2: open_loop_fixed_gain
<code>instr_op_mode_20_ku</code>	<b>Instrument operating mode: 20 Hz Ku band</b> 0: LRM   1: SAR   2: LRM_and_SAR

Table 6: Fields selected to analyse and monitor the Sentinel-3 instrument modes

Data have been selected over ocean using only points where `surf_type_20_ku` = 0. Percentages have been obtained by using a combination of the fields `mode_id_20_ku` and `instr_op_mode_20_ku`.

As it can be seen in Figure 3 and Figure 5, Sentinel-3A and Sentinel-3B are fully operating in SAR altimetry mode. This is the nominal situation since the end of commissioning phase.

### 4.4.1 Arctic

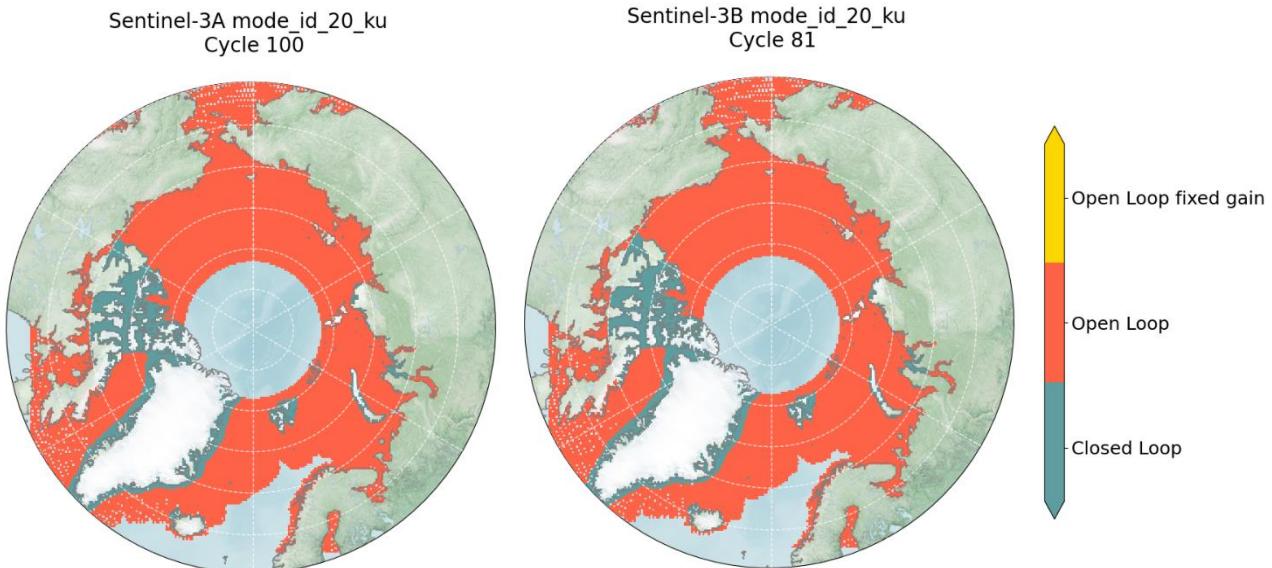


Figure 2 : Map of SRAL on-board tracking modes over the Arctic sea-ice (`mode_id_20_ku`)

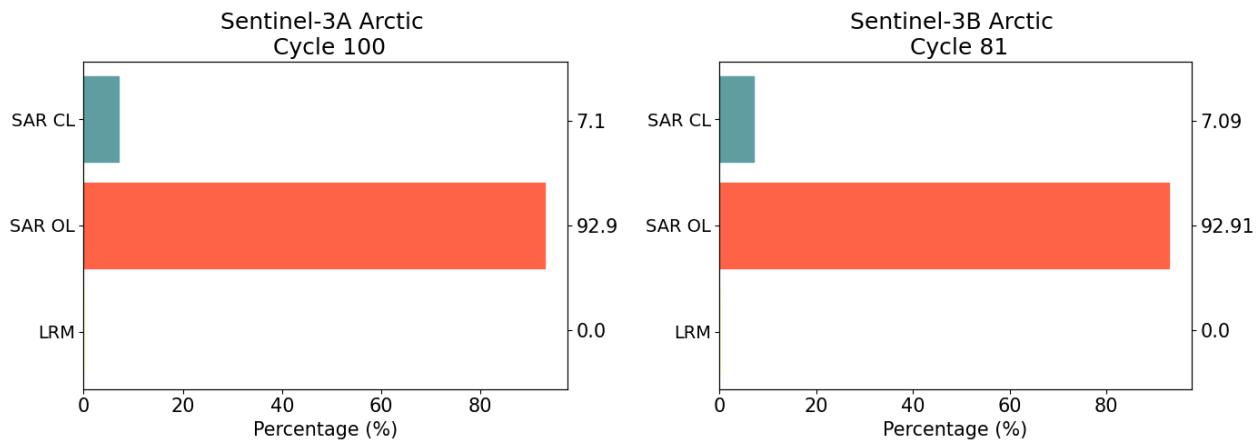


Figure 3 : Percentage of the different SRAL modes over the Arctic sea-ice (combination of mode\_id\_20\_ku & instr\_op\_mode\_20\_ku)

#### 4.4.2 Antarctic

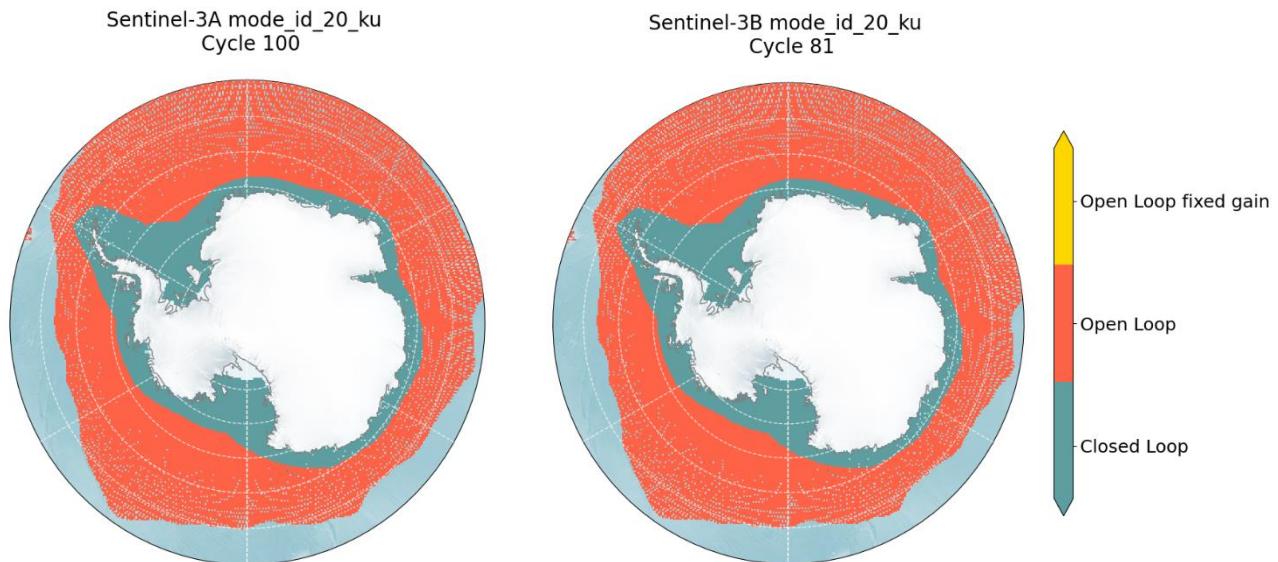


Figure 4 : Map of SRAL on-board tracking modes over the Antarctic sea-ice (mode\_id\_20\_ku)

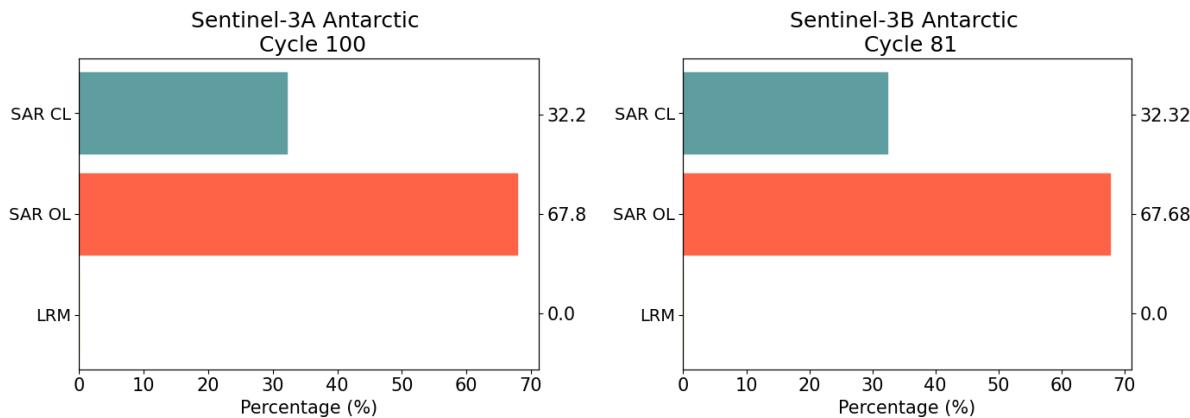


Figure 5 : Percentage of the different SRAL modes over the Antarctic sea-ice (combination of mode\_id\_20\_ku & instr\_op\_mode\_20\_ku).

## 5 Geophysical parameters monitoring

This section shows results and analyses of the L2 STC parameters relating to sea ice. For all the analyses of this section, NaN values have been discarded.

The following fields from the SR\_2\_LAN Level 2 STC products have been used in this section :

<code>surf_type_20_ku</code>	<b>Surface type: 20 Hz Ku band</b> 0: ocean_or_semi_enclosed_sea   1: enclosed_sea_or_lake 2: continental_ice   3: land
<code>freeboard_20_ku</code>	<b>Radar freeboard derived from SAR mode altimetry measurements, available at 20 Hz rate</b>
<code>surf_type_class_20_ku</code>	<b>Altimeter-derived surface type classification, available at 20 Hz rate</b> 0: open_ocean   1: sea_ice   2: leads   3: unclassified
<code>int_sea_ice_ssha_20_ku</code>	<b>Interpolated sea ice sea surface height anomaly, available at 20 Hz rate</b>
<code>sea_ice_ssha_20_ku</code>	<b>sea ice sea surface height anomaly, available at 20 Hz rate</b>
<code>sea_ice_concentration_20_ku</code>	<b>Sea-ice concentration from MSSL Auxiliary Data Files, available at 20 Hz rate</b>
<code>peakiness_2_20_ku</code>	<b>SAR waveform Peakiness from Laxon's derivation, available at 20 Hz rate</b>

Table 7: SR\_2\_LAN Level 2 STC fields used for the geophysical parameters monitored

Data have been selected over ocean, where the flag `surf_type_20_ku` is set to 0.

All polar maps in this section have been generated using a 25km polar stereographic projection.

## 5.1 20Hz Ku-band Altimeter Derived freeboard (freeboard\_20\_ku)

### 5.1.1 Arctic

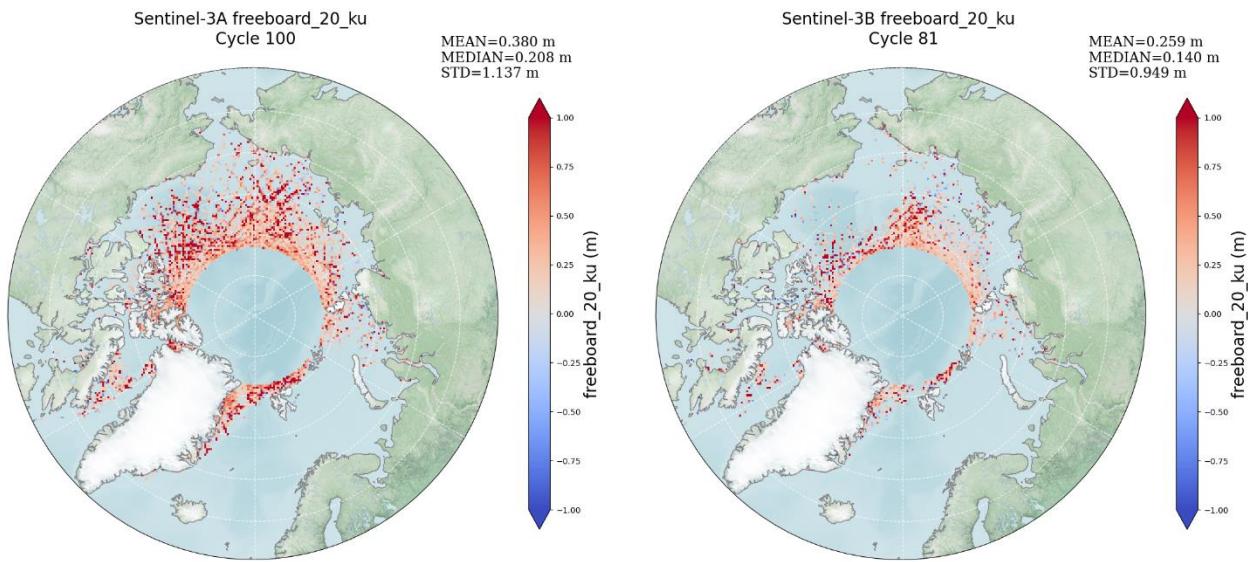


Figure 6 : Maps of SAR mode Ku-band freeboard for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic

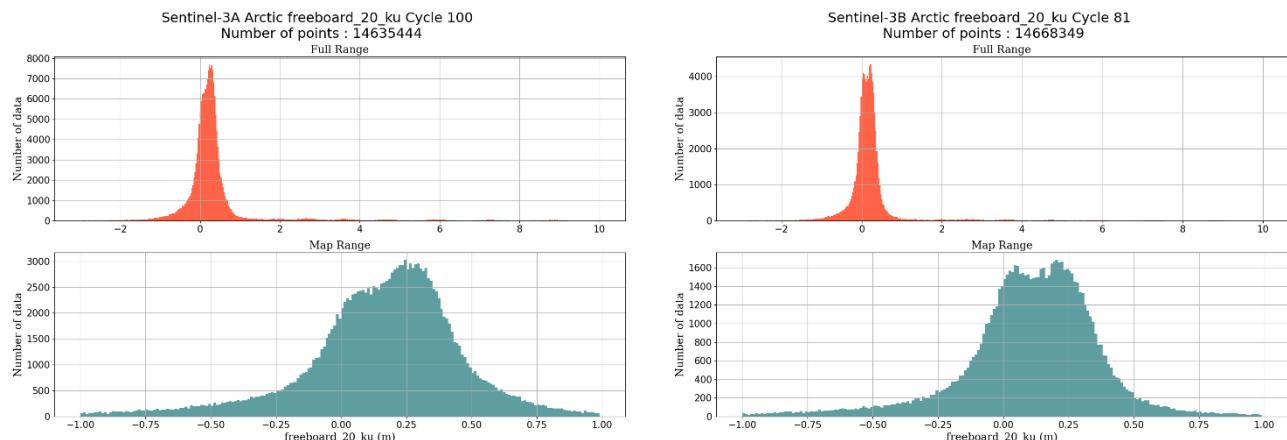


Figure 7 :Histograms of Ku-band freeboard for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic. Map range corresponds to the one used in the freeboard maps above (Figure 6)

Arctic freeboard values for this cycle are within normal range and show expected behaviour. No anomalies detected.

## 5.1.2 Antarctic

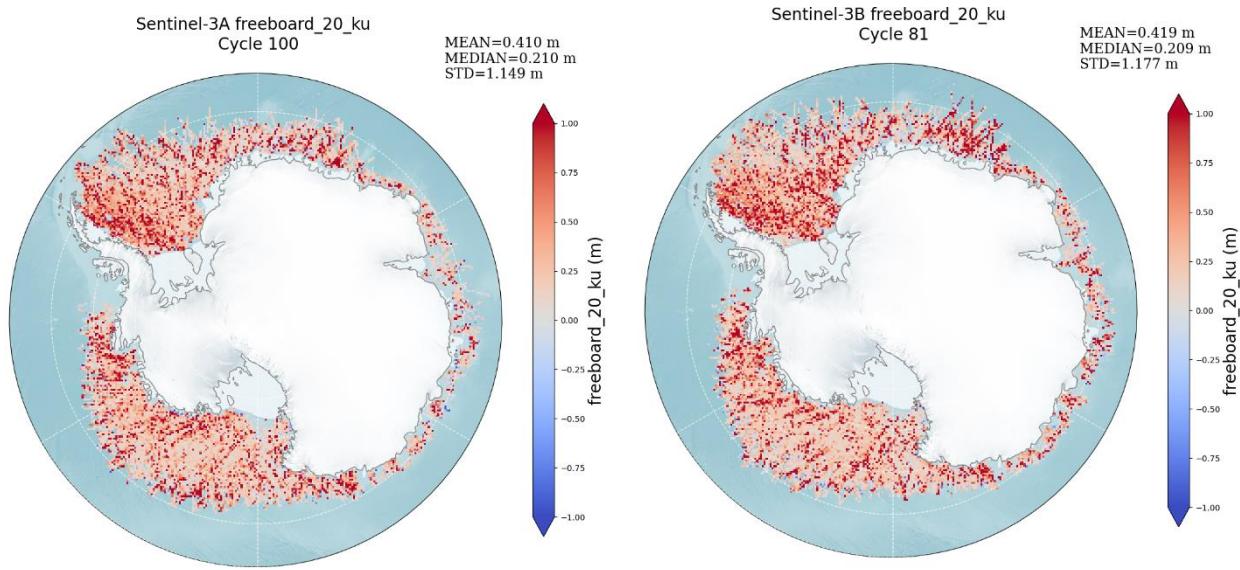


Figure 8: Maps of SAR mode Ku-band freeboard for Sentinel-3A (left) and Sentinel-3B (right) for the Antarctic

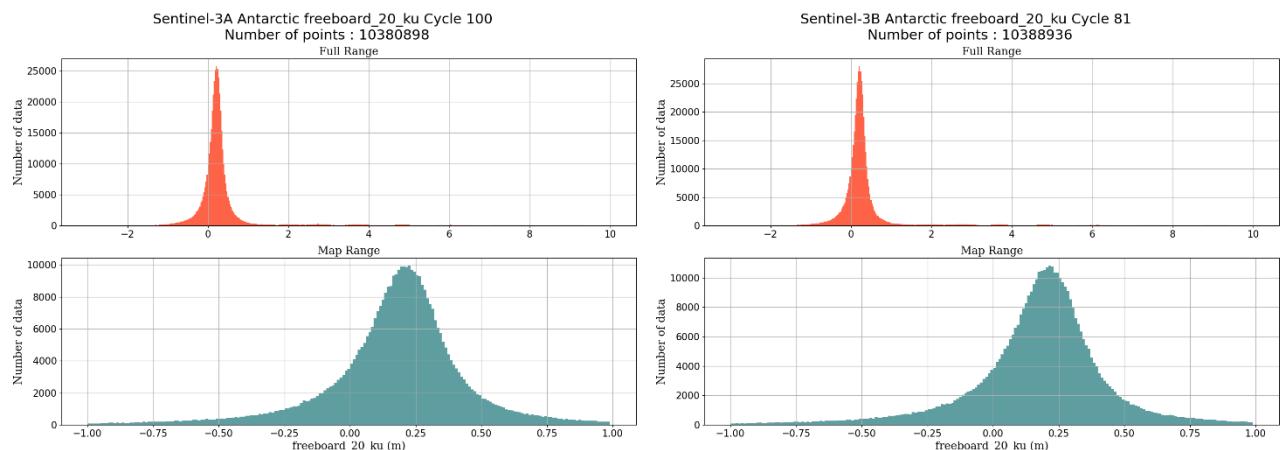


Figure 9 : Histograms of SAR mode Ku-band freeboard for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic. Map range corresponds to the range used in the freeboard map (Figure 8)

Antarctic freeboard values for this cycle are within normal range and show expected behaviour. No anomalies detected.

## 5.2 20Hz Ku-band Altimeter Derived Surface Type (surf\_type\_class\_20\_ku)

This parameter flags the surface as "sea ice lead", "sea ice floe", "open ocean" or "unclassified", depending on:

- The SAR waveform shape (i.e.: waveform peakiness)
- The sea ice concentration value from the Auxiliary Data File, and interpolated at the altimetry location

Each class has been mapped using a polar stereographic projection and grid pixel values represent the percentage/ratio of occurrence, for each surface classes. Percentages of each class occurrence (Figure 10 and Figure 15) have been computed directly on the 20Hz data.

### 5.2.1 Arctic

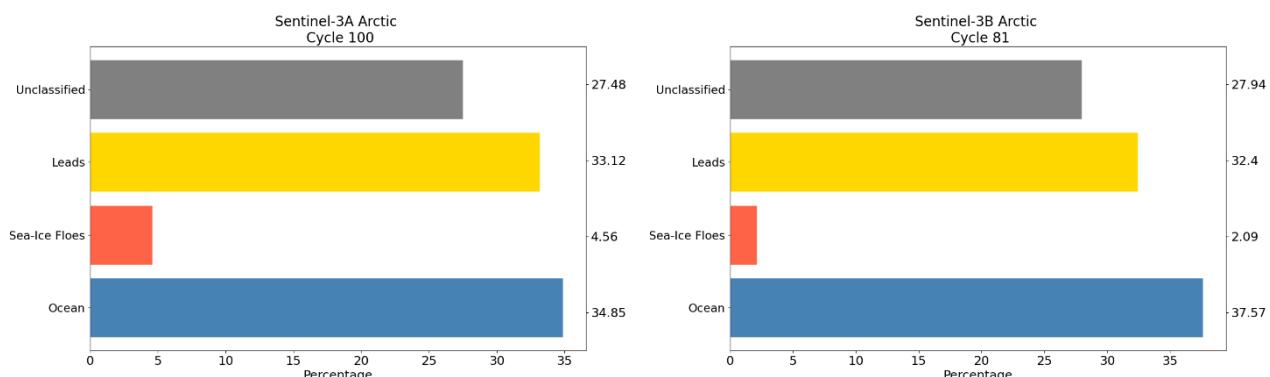


Figure 10 : Percentages of each surface class for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic.

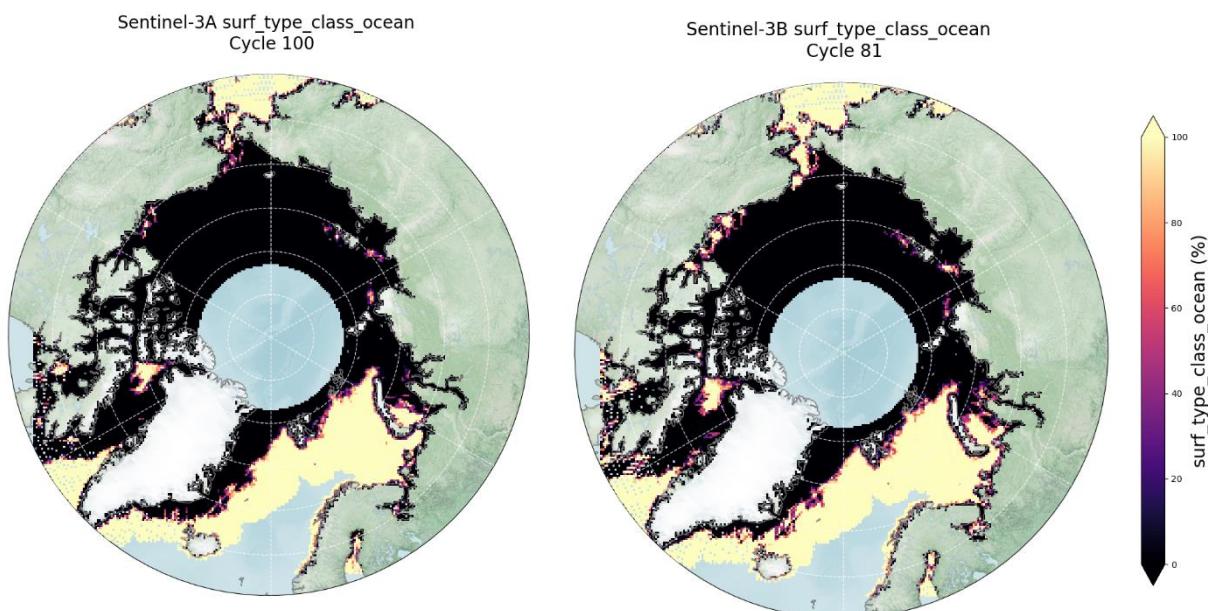


Figure 11 : Maps of "ocean" class occurrences for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic

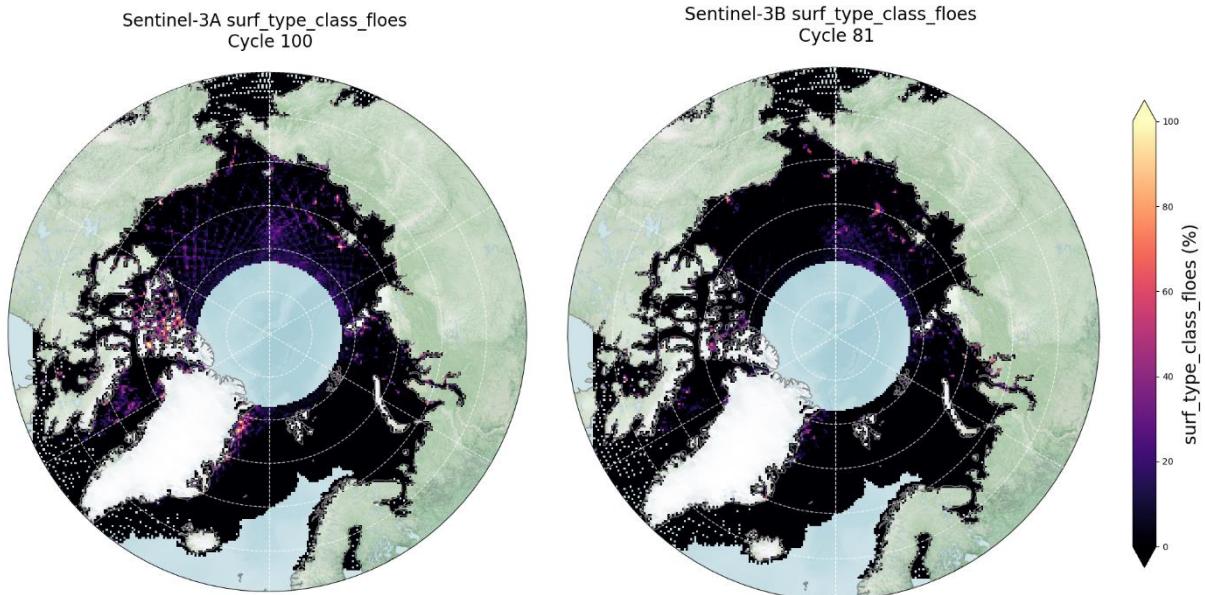


Figure 12 : Maps of “sea-ice floes” class occurrences for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic

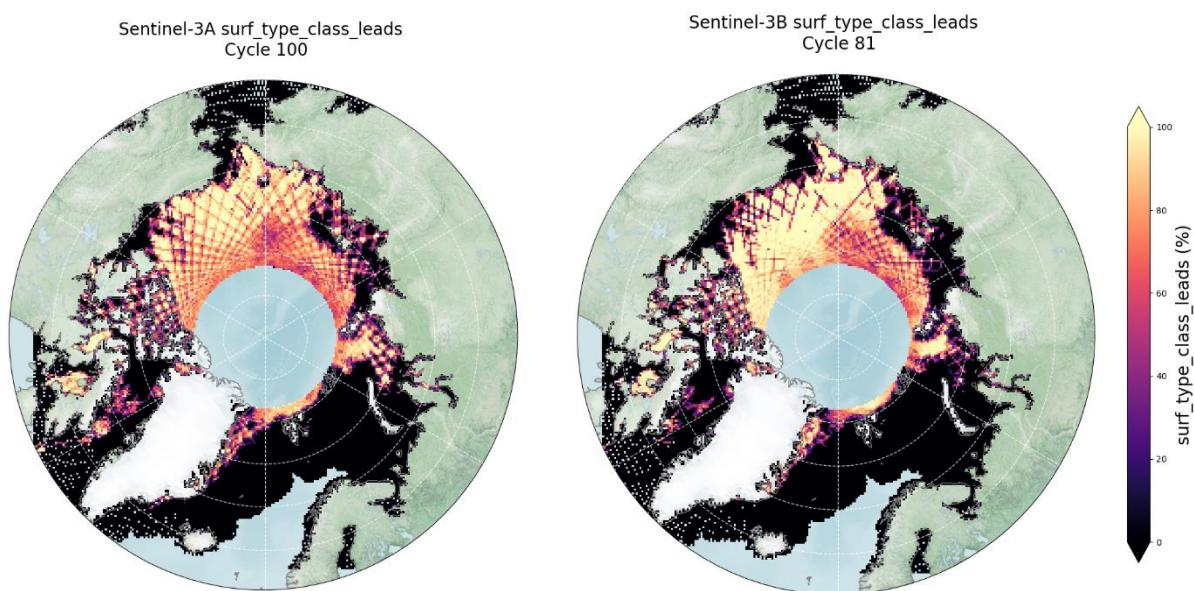


Figure 13 : Maps of “leads” class occurrences for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic

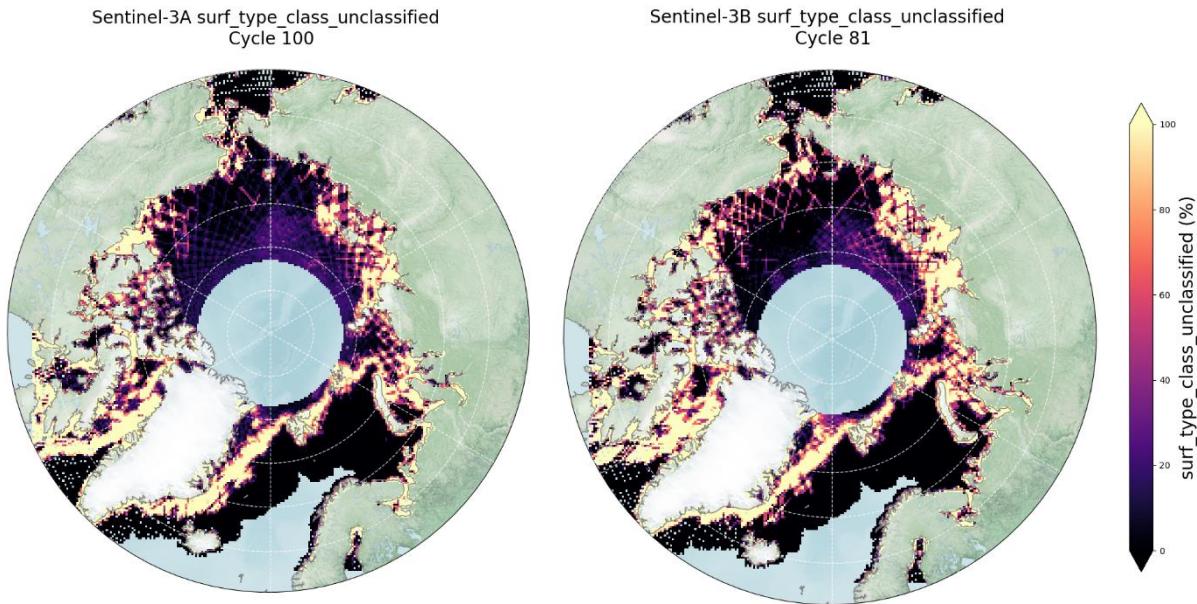


Figure 14 : Maps of “unclassified” class occurrences for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic

The distribution of each class (ocean, floes, leads and unclassified) is nominal and shows no anomalies.

## 5.2.2 Antarctic

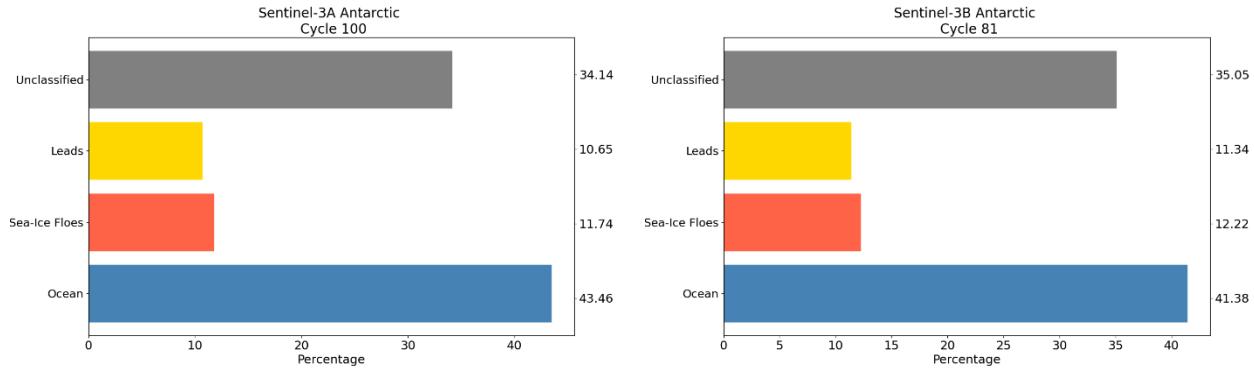


Figure 15 : Percentages of each surface class for Sentinel-3A (left) and Sentinel-3B (right) for the Antarctic

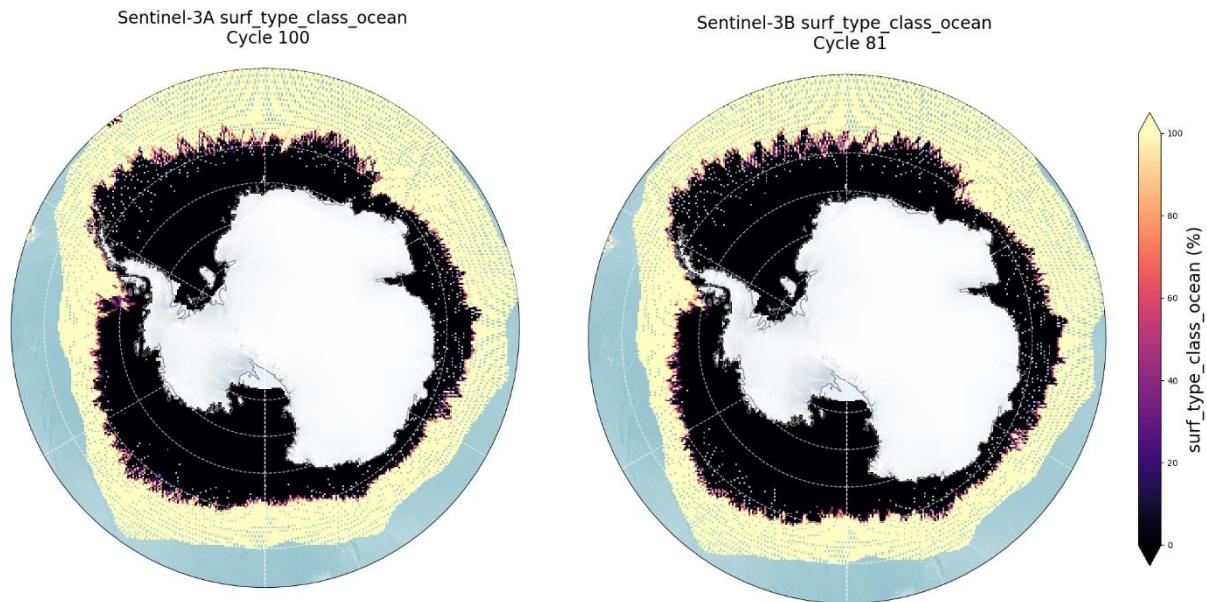


Figure 16 : Maps of “ocean” class occurrences for Sentinel-3A (left) and Sentinel-3B (right) for the Antarctic

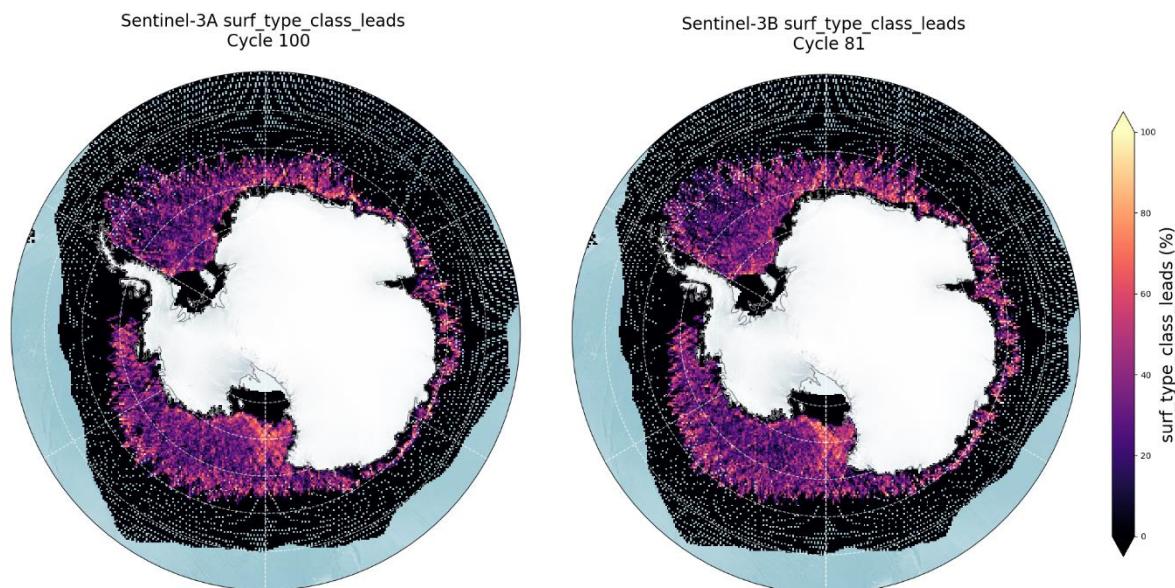


Figure 17 : Maps of “leads” class occurrences for Sentinel-3A (left) and Sentinel-3B (right) for the Antarctic

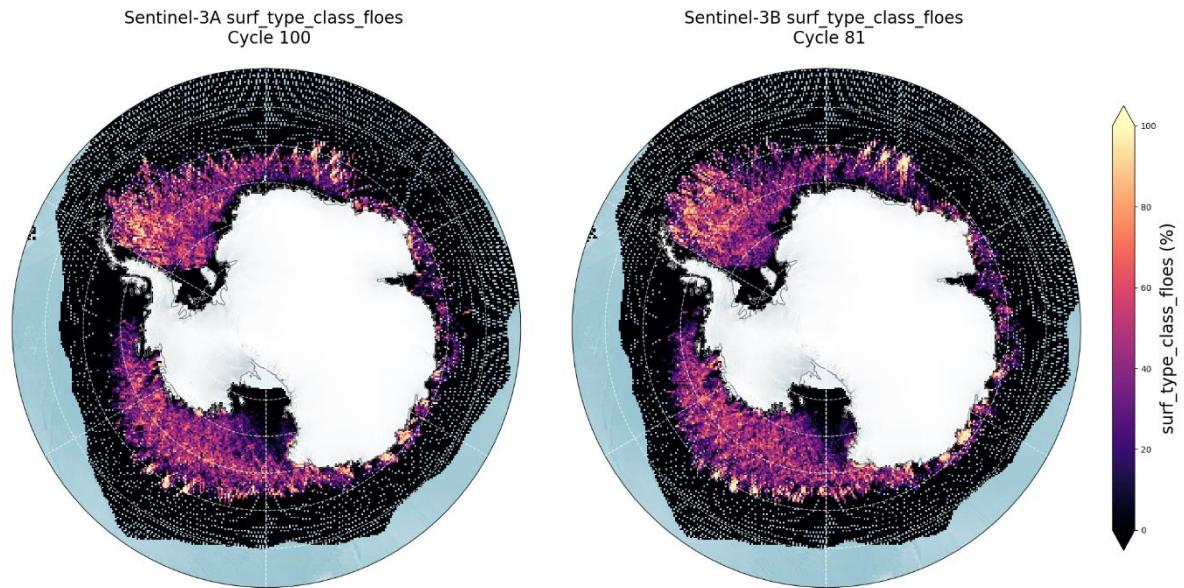


Figure 18 : Maps of “sea-ice floes” class occurrences for Sentinel-3A (left) and Sentinel-3B (right) for the Antarctic

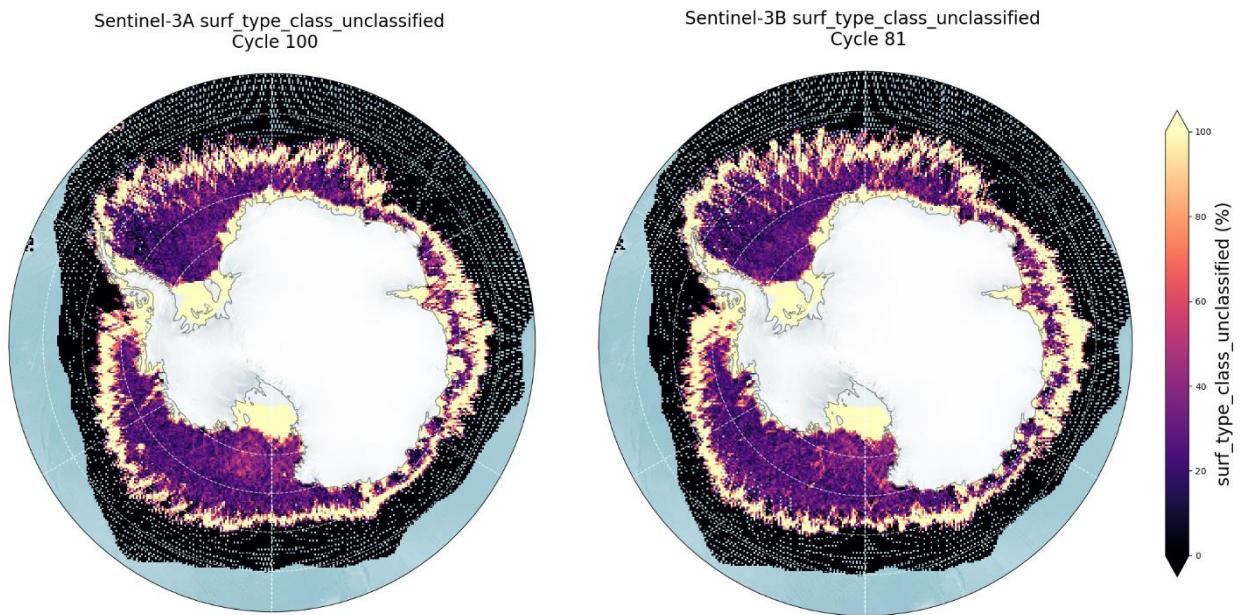


Figure 19 : Maps of “unclassified” class occurrences for Sentinel-3A (left) and Sentinel-3B (right) for the Antarctic

The distribution of each class (ocean, floes, leads and unclassified) is nominal and shows no anomalies.

## 5.3 20Hz Ku-band Interpolated Sea-Ice Sea Surface Height Anomaly (int\_sea\_ice\_ssha\_20\_ku)

This parameter is the sea surface height with respect to the mean sea surface (*mean\_sea\_surf\_sol2\_20\_ku*) interpolated between leads in the sea ice (ie represents the SSHA underneath the sea ice floes).

### 5.3.1 Arctic

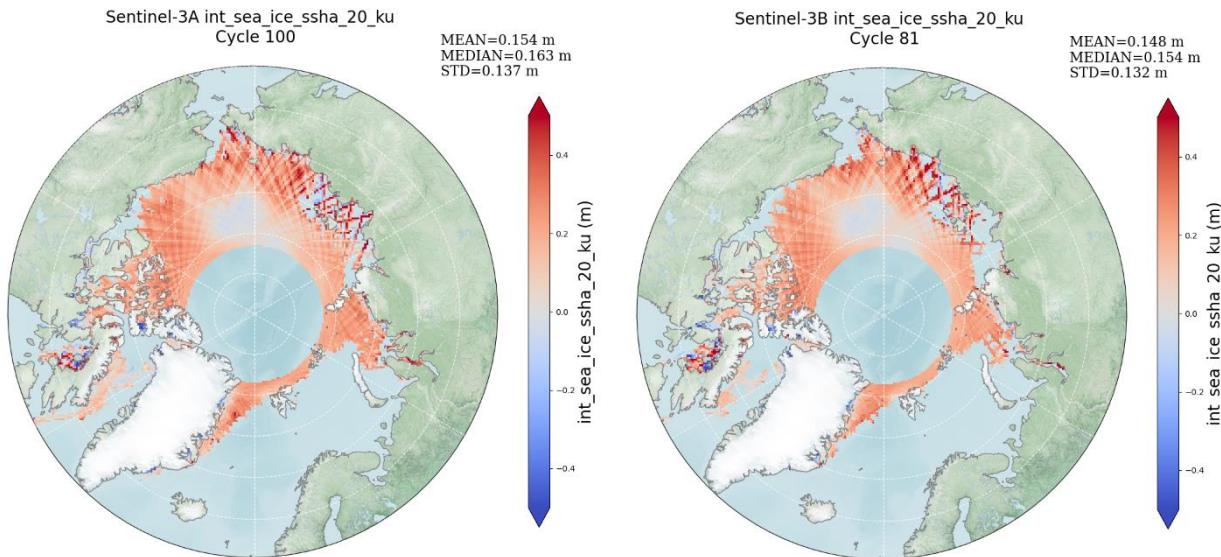


Figure 20 : Maps of SAR mode Ku-band interpolated sea-ice for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic

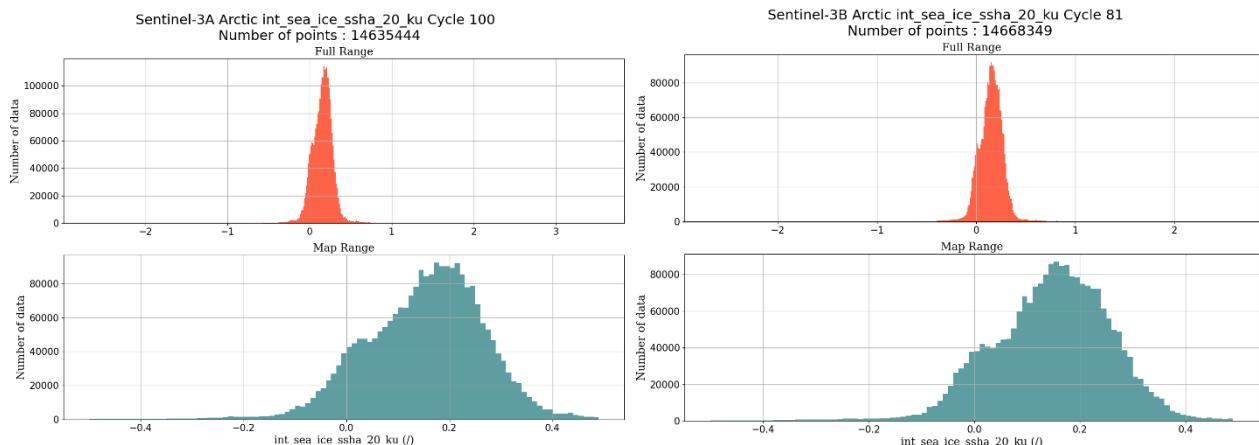


Figure 21 : Histograms of Ku-band SAR mode interpolated sea-ice surface height anomaly for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic. Map range corresponds to the range used in the freeboard map (Figure 20)

Interpolated sea-ice SSHA values on the Arctic for this cycle are within normal range and show expected behaviour. No anomalies detected.

## 5.3.2 Antarctic

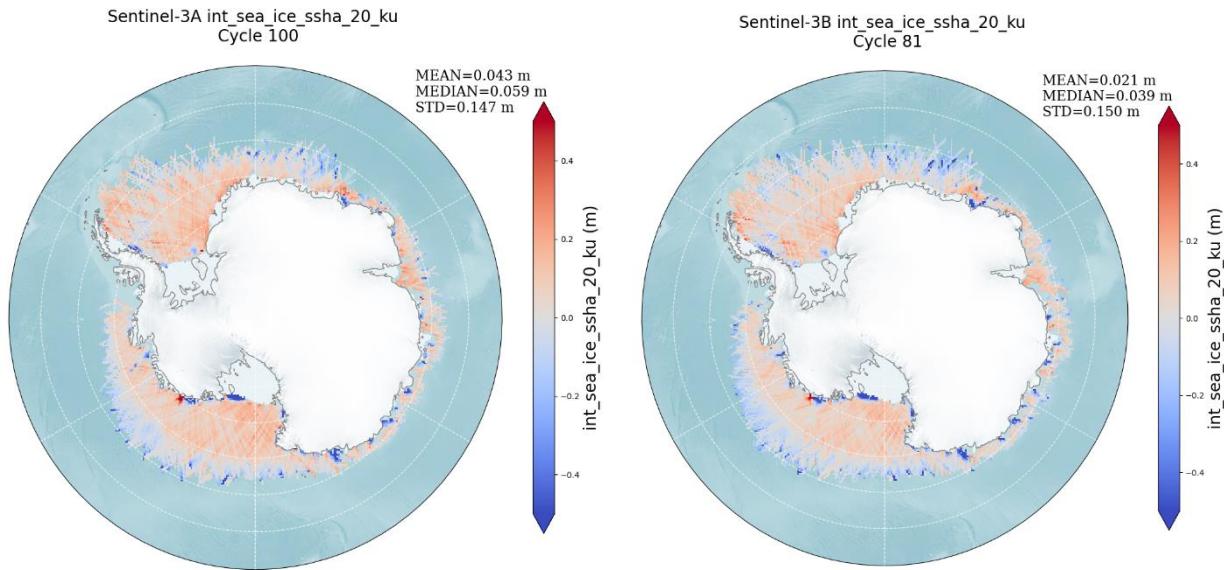


Figure 22 : Maps of Ku-band SAR mode interpolated sea-ice SSHA for Sentinel-3A (left) and Sentinel-3B (right) for the Antarctic

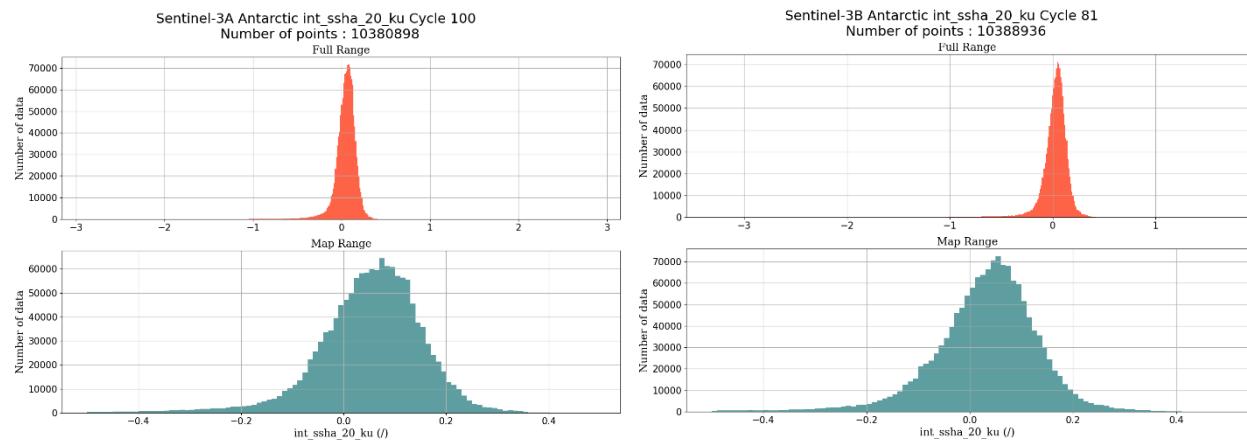


Figure 23 : Histograms of SAR mode Ku band interpolated sea-ice SSHA for Sentinel-3A (left) and Sentinel-3B (right) for the Antarctic. Map range corresponds to the range used in the freeboard map (Figure 22)

Interpolated SSHA values on the Antarctic for this cycle are within normal range and show expected behaviour. No anomalies detected.

## 5.4 20Hz Sea-Ice Sea Surface Height Anomaly (sea\_ice\_ssha\_20\_ku)

This parameter is the sea-ice sea surface height anomaly with respect to the mean sea surface (*mean\_sea\_surf\_sol2\_20\_ku*).

### 5.4.1 Arctic

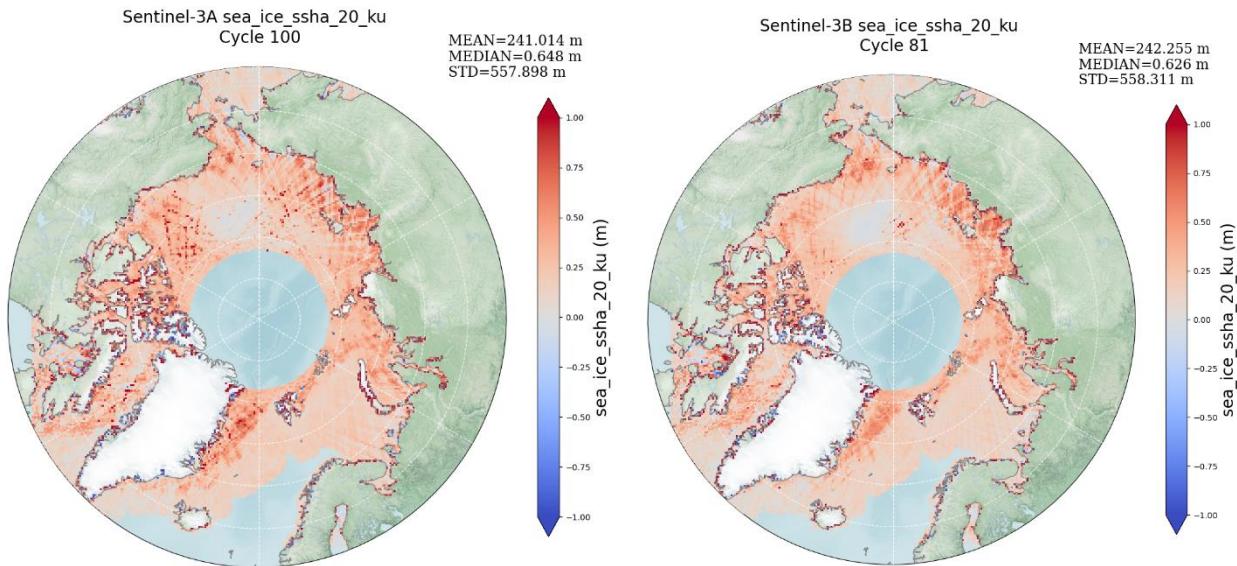


Figure 24 : Maps of the SAR mode Ku band sea-ice SSHA for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic

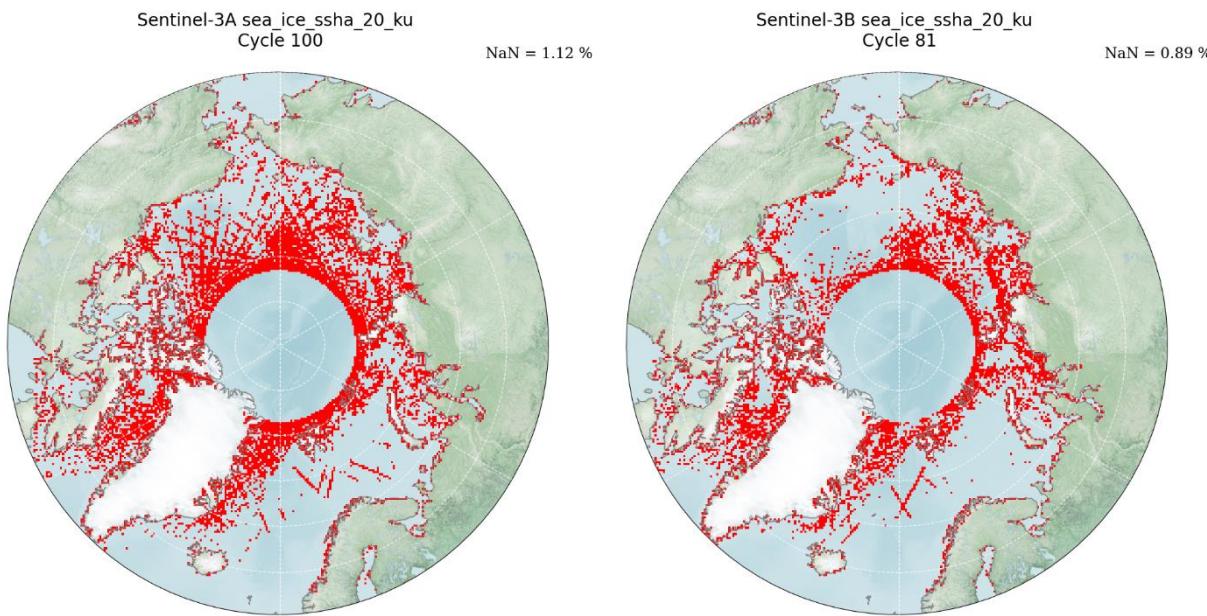


Figure 25 : Maps of the SAR mode Ku band sea-ice SSHA NaN values for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic

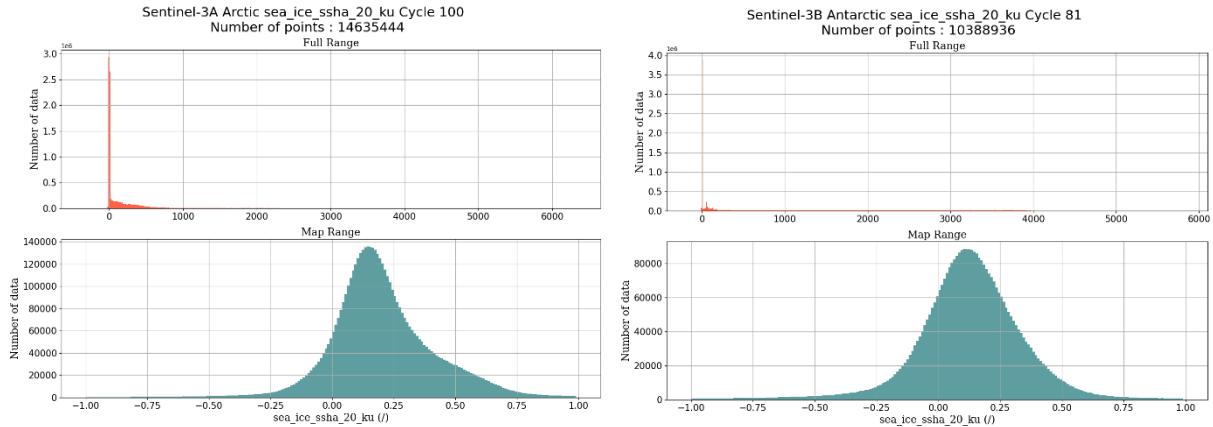


Figure 26 : Histograms of the SAR mode Ku band sea-ice SSHA for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic. Map range corresponds to the range used in the freeboard map (Figure 24)

Sea ice SSHA values on the Arctic for this cycle are within normal range and show expected behaviour. No anomalies detected. Note that the presence of outliers induces a biased mean and std values.

## 5.4.2 Antarctic

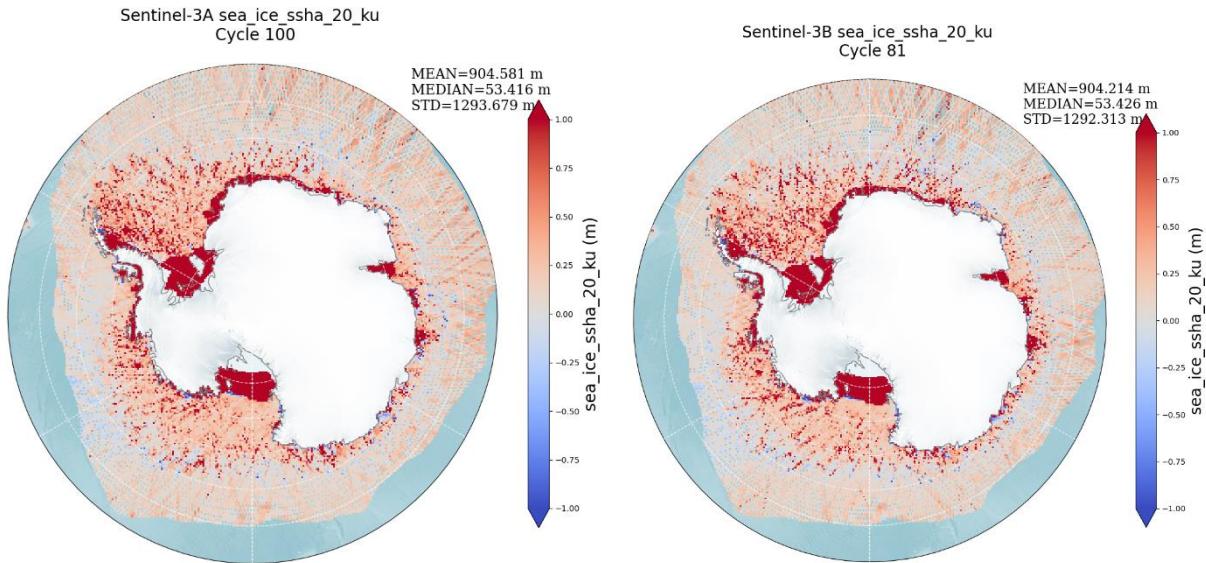


Figure 27 : Maps of the SAR mode Ku band sea-ice SSHA for Sentinel-3A (left) and Sentinel-3B (right) for the Antarctic

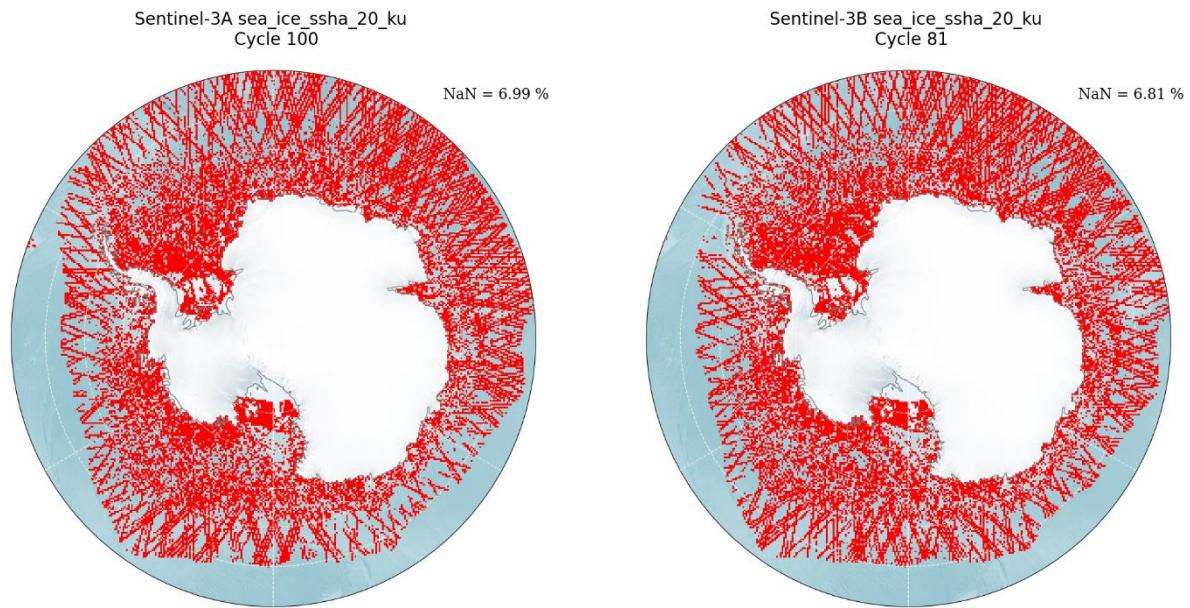


Figure 28 : Maps of the SAR mode Ku band sea-ice SSHA NaN values for Sentinel-3A (left) and Sentinel-3B (right) for the Antarctic

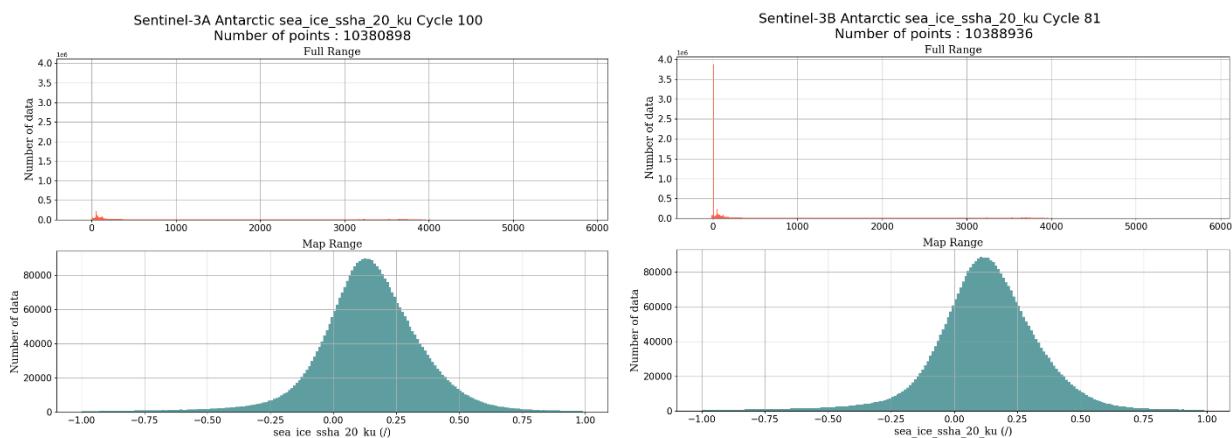


Figure 29 : Histograms of the SAR mode Ku band sea-ice SSHA for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic. Map range corresponds to the range used in the sea-ice SSHA map (Figure 27)

SSHA values on the Arctic for this cycle are within normal range and show expected behaviour. No anomalies detected. Note that the presence of outliers induces a biased mean and std values. Also, as explained in the cycle overview (section 2), ice shelves were not edited. They are clearly visible on the maps and increase artificially the number of NaN values.

## 5.5 20Hz Ku-band Sea Ice Concentration (sea\_ice\_concentration\_20\_ku)

Sea ice concentration data is available in 100% of records in the STC product in these cycles. Sea Ice Concentration is retrieved from input Auxiliary Data Files (ADF). These ADF are provided by MSSL, they contain sea ice concentration maps derived from a dynamic 3-day average of sea ice concentration calculated from SSM/I daily brightness temperature data. The sea ice concentration values available in the products, and mapped in the Figures below, below are interpolated at each 20 Hz altimetry locations.

### 5.5.1 Arctic

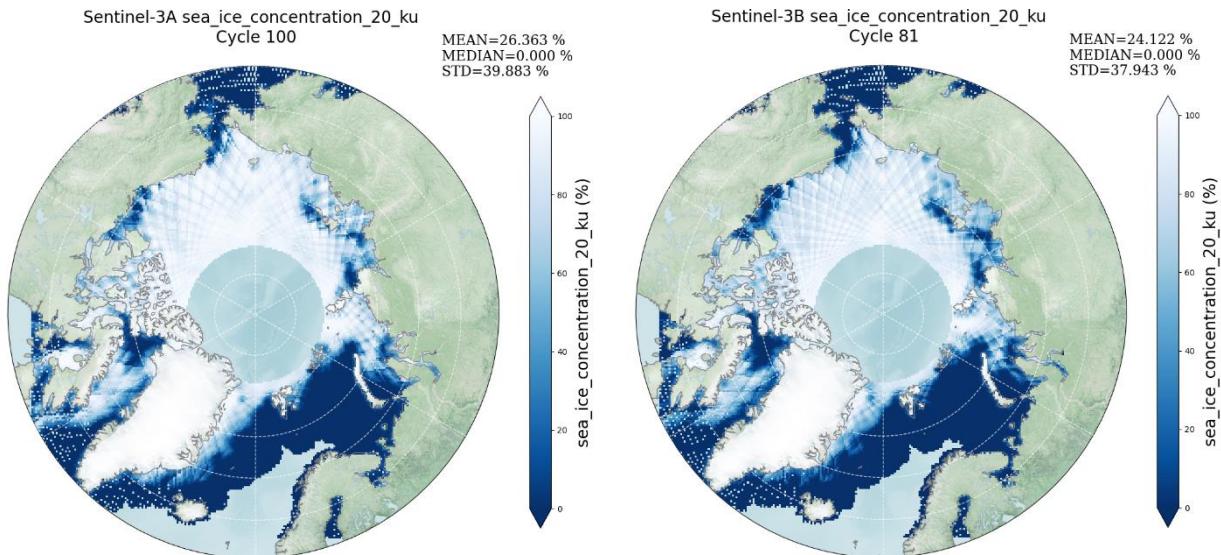


Figure 30 : Maps of Sea Ice Concentration(sea\_ice\_concentration\_20\_ku) over the Arctic Ocean

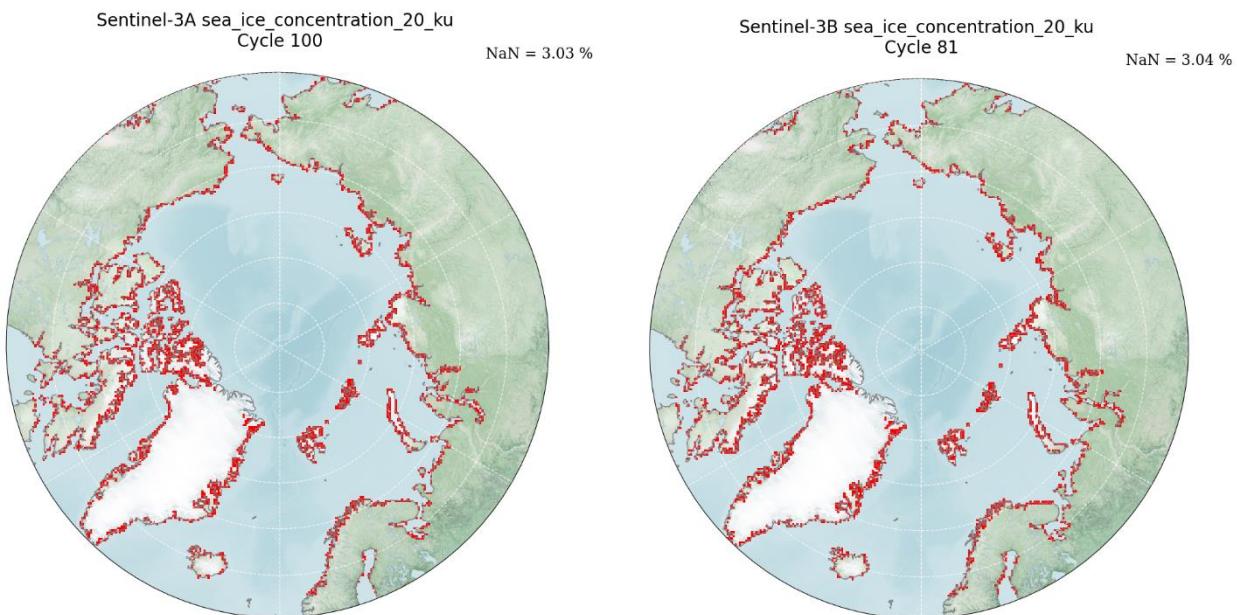


Figure 31 : Maps of Sea Ice Concentration (sea\_ice\_concentration\_20\_ku) NaN values over the Arctic Ocean

Sea-Ice concentration values on the Arctic for this cycle are within normal range and show expected behaviour. The NaN values observed along the coastlines are expected, they are due to the absence of valid estimations in the current input ADF. This issue is planned to be fixed in a near future IPF version, with a change of the input ADF. No anomalies detected.

## 5.5.2 Antarctic

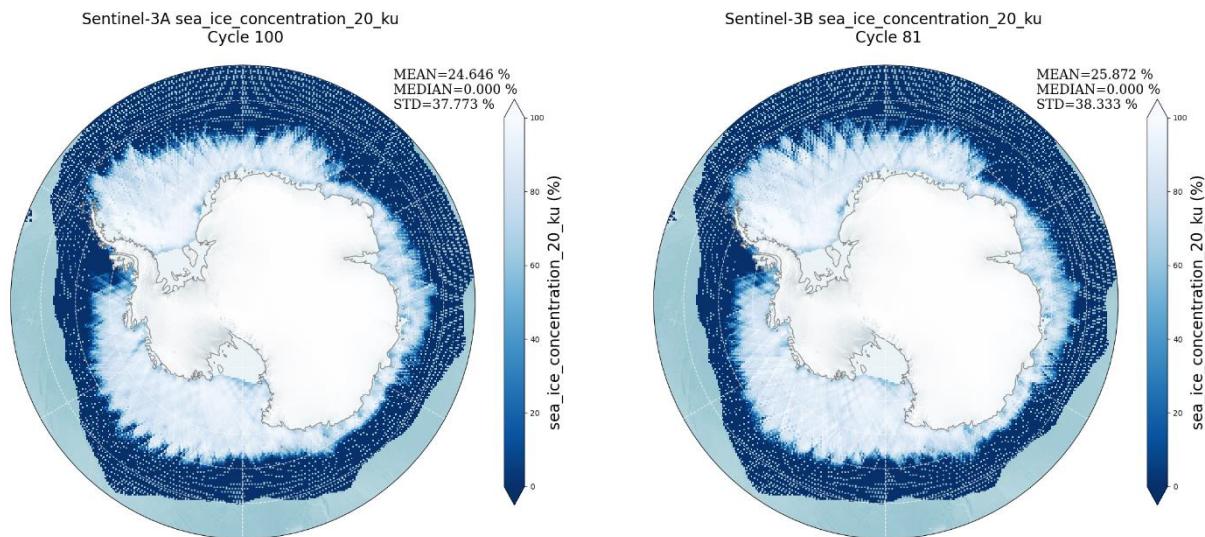


Figure 32 : Maps of Sea Ice Concentration (sea\_ice\_concentration\_20\_ku) over the Antarctic Ocean

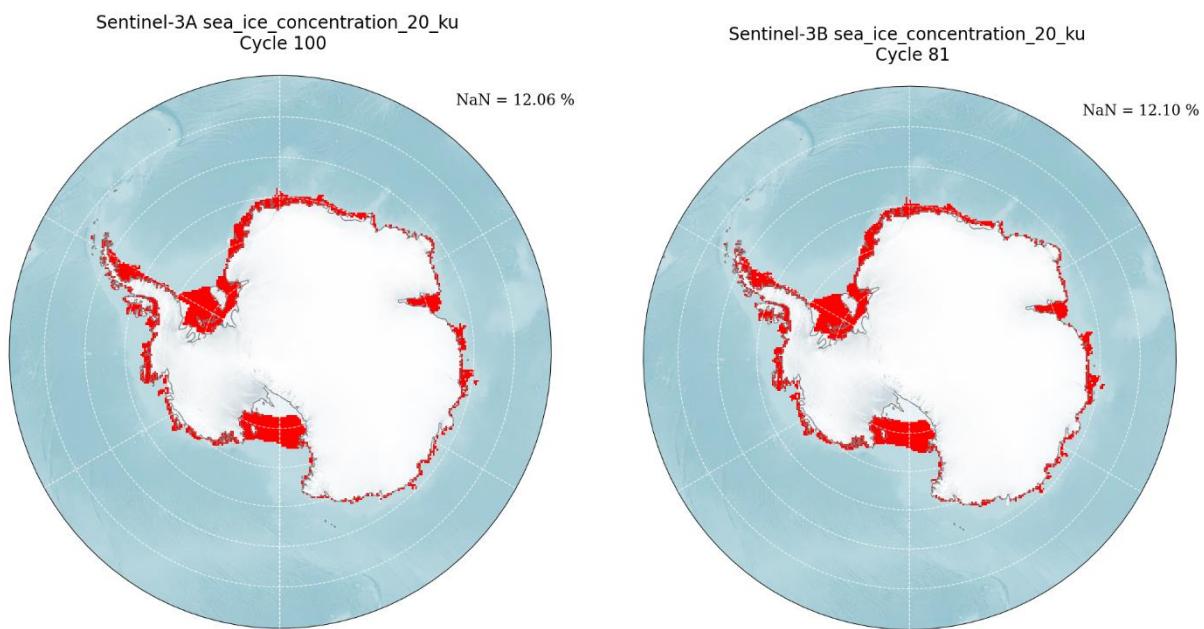


Figure 33 : Maps of Sea Ice Concentration (sea\_ice\_concentration\_20\_ku) NaN values over the Antarctic Ocean

Sea-Ice concentration values on the Antarctic for this cycle are within normal range and show expected behaviour. No anomalies detected.

As explained in the cycle overview (section 2), ice shelves were not edited. They are clearly visible on the maps and increase artificially the number of NaN values.

## 5.6 20Hz Ku-band peakiness (peakiness\_2\_20\_ku)

Waveform shape peakiness is a primary means of discriminating between sea ice floes and leads. Specular returns over leads have high peakiness values (> 23) and diffuse echoes over sea ice floes and open ocean have a less peaky shape, leading to low peakiness values (< 11).

### 5.6.1 Arctic

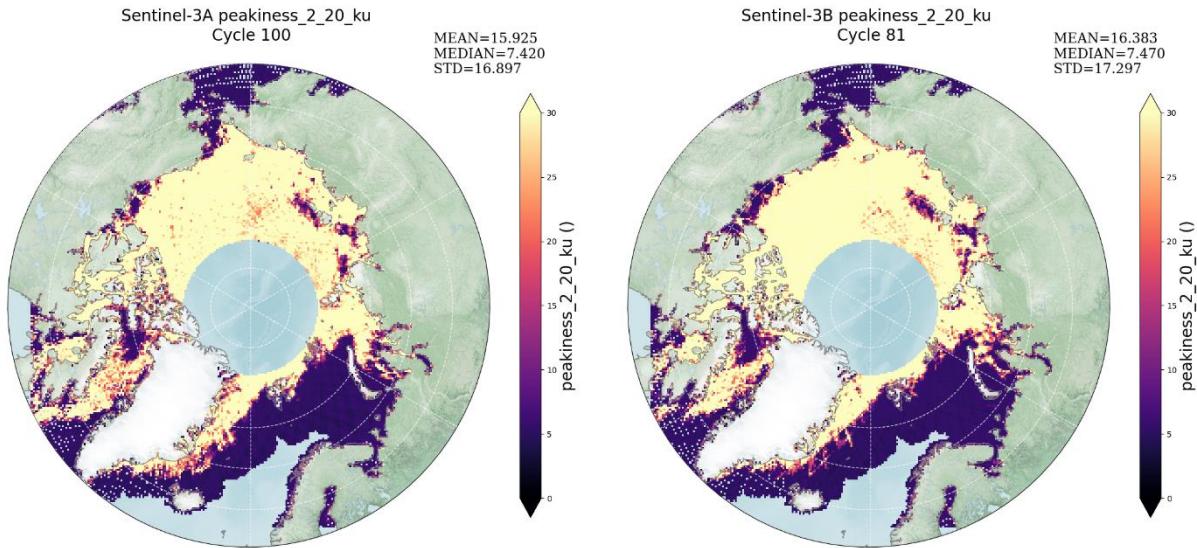


Figure 34 : Maps of 20Hz SAR mode Ku band Peakiness (peakiness\_2\_20\_ku) over the Arctic

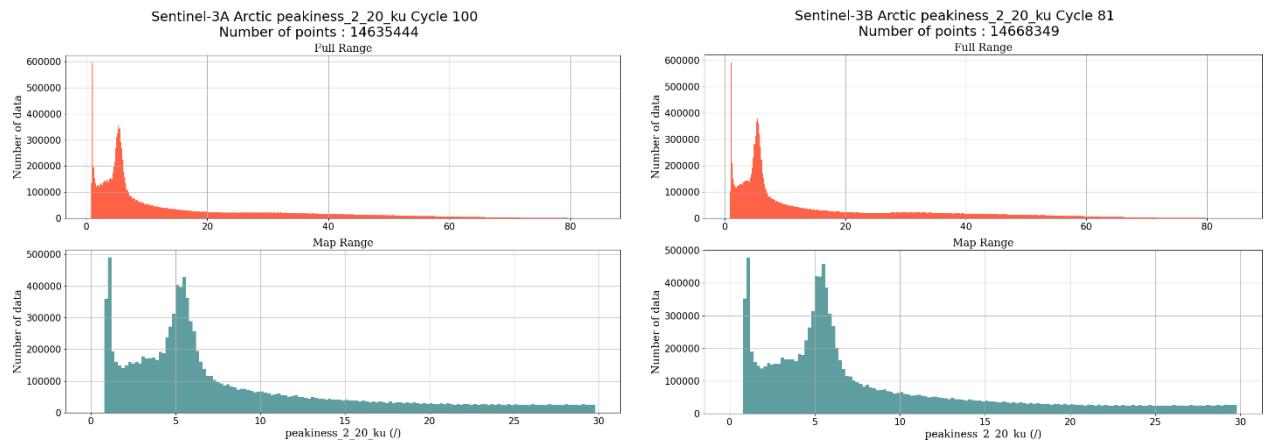


Figure 35 : Histograms of SAR mode Ku-band peakiness for Sentinel-3A (left) and Sentinel-3B (right) for the Arctic. Map range corresponds to the range used in the peakiness maps (Figure 34)

Peakiness values on the Arctic for this cycle are within normal range and show expected behaviour. No anomalies detected.

## 5.6.2 Antarctic

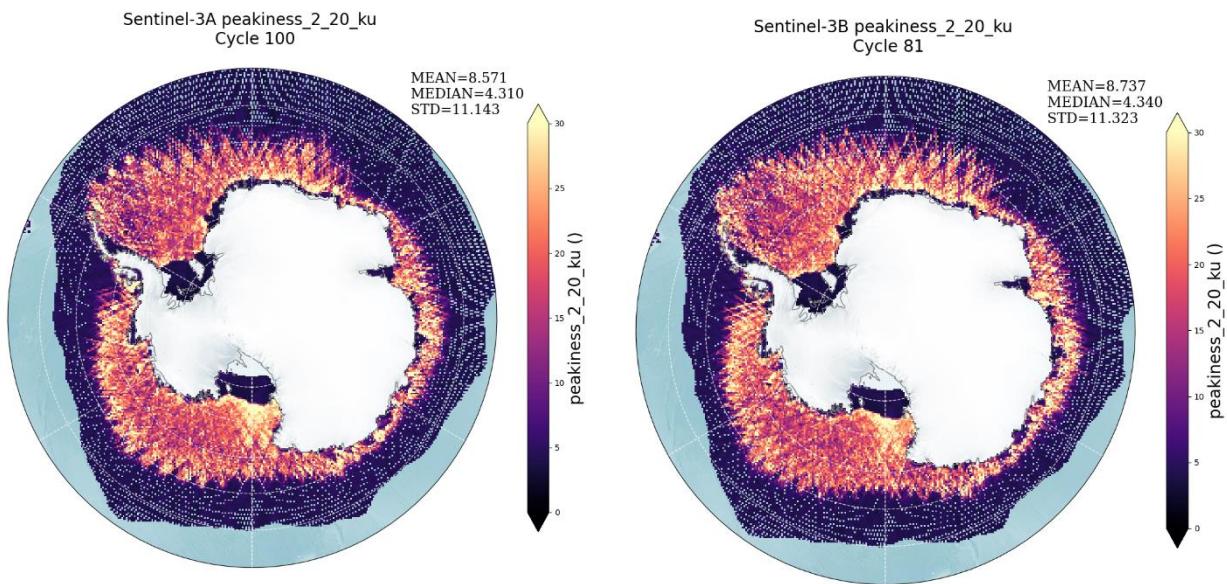


Figure 36 : Maps of 20Hz SAR mode Ku band Peakiness (peakiness\_2\_20\_ku) over the Antarctic

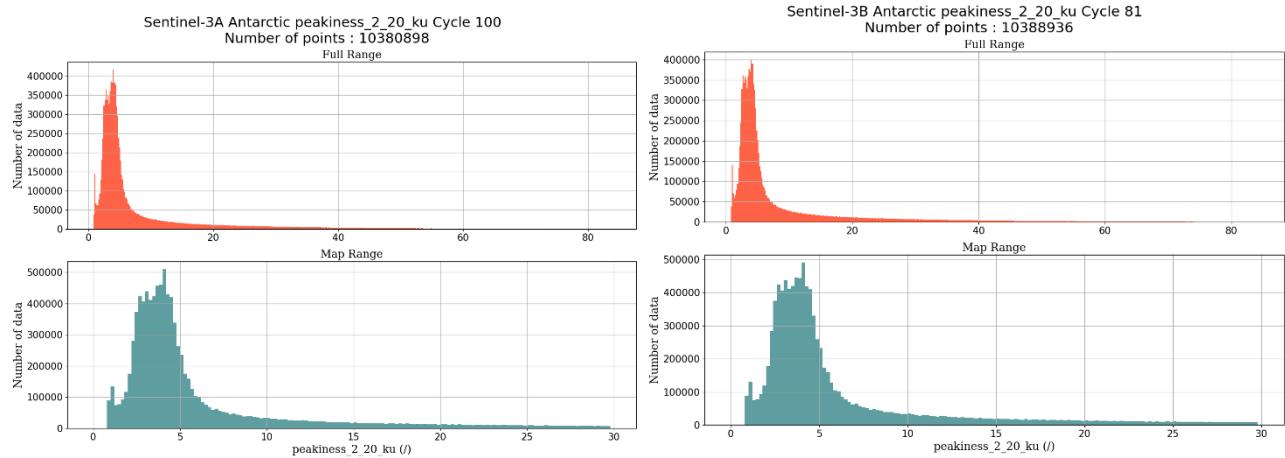


Figure 37 : Histograms of SAR mode Ku-band freeboard for Sentinel-3A (left) and Sentinel-3B (right) for the Antarctic. Map range corresponds to the range used in the corresponding map (Figure 36)

Peakiness values on the Antarctic for this cycle are within normal range and show expected behaviour.  
No anomalies detected.

## Appendix A - Useful links

The Product Format Specification applicable to the Level-2 products assessed in this report is available in Sentinel Online, version 2.15:

<https://sentinel.esa.int/documents/247904/2753172/Sentinel-3-Product-Data-Format-Specification-Level-2-Land.pdf/a176f07a-d9bd-4589-8c29-92c3487a9c7b?t=1611592513420>

