

Copernicus Sentinel-3 Surface Topography Mission - Cyclic Performance Report LAND ICE

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# 1 Introduction

The purpose of this document is to report on the performance and data quality of the Copernicus Sentinel-3 Surface Topography Mission (STM) LAND products. The constellation currently includes Sentinel-3A and Sentinel-3B altimetry satellites. This document is associated with data dissemination on a cyclic basis and is generated a few days after the end of Sentinel-3B cycle.

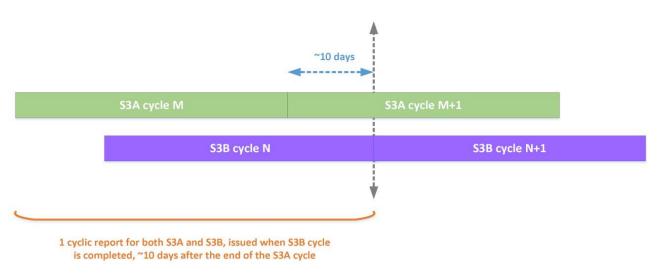


Figure 1.1 S3A and S3B cycles chronology

The SRAL Level 2 products assessed hereafter are produced by the ESA Sentinel-3 LAND Processing Centre. One of the main goals of the cyclic report is to detect and report as quickly as possible any events, or anomaly, impacting the data quality. Subsequently, the assessments are made on the Short Time Critical (STC) products, generally delivered 48 hours after data acquisitions. Differences are expected with the Non Time Critical (NTC) products, for which the orbit data and several geophysical corrections are consolidated.

The main objectives of this document are:

- > To provide a data quality assessment of the Sentinel-3 SRAL Level 2 STC LAND products
- To report on any changes likely to impact data quality at any level, from instrument status to software configuration.
- ▶ To present the major useful results for S3A cycle 084, from 04/08/2022 to 01/05/2022.
- ▶ To present the major useful results for S3B cycle 065, from 14/04/2022 to 11/05/2022.



# 2 Cycle overview

During these cycles, Sentinel-3A and Sentinel-3B SRAL operated in SAR mode over the ice sheets. 100% of the expected orbits were received and assessed.

For Level-2 STC Land ice products over the polar ice sheets the OCOG (Ice-1) retracker provides the largest amount of ice sheet elevation data, and most failures are located close to the ice sheet margins.

Parameter:	Comments:
Orbit	Orbital coverage for S3A is 100% and for S3B 99.7%. One orbit is missing.
	The change of processing center has resulted in file duplicates or splitting of tracks for Sentiel-3B.
Availability of geophysical corrections	Nominal data availability. The ionospheric correction and the ocean tide over the ice shelves are missing in a few passes
Availability of auxiliary data	Nominal auxiliary data availability. In a few passes the elevation is NaN caused by the failure of the ionospheric correction
Geophysical parameters	Nominal performances in the altimeter-derived geophysical parameters.
Specific investigations	<b>Sentinel-3B:</b> 4 tracks crossing the southern parth of the Greenland ice sheet and 9 crossing the Antarctic ice sheet is failing due to ionospheric correction.
Orbit cross-over statistics	The UCL ice sheet retracker enables fewer cross-overs to be evaluated than for the OCOG/ICE-1 retracker. This is nominal in relation to the previous cyclic report for the Antarctic ice sheet (70%), but for Greenland the number of valid crossovers ratio is down to 45%.
	For cross-overs less than 1 meter show a mean bias of 0 cm (S3B OCOG 1 cm) and standard deviation $\leq$ 35 cm.
Status	Overall nominal data availability and nominal mission performances on this cycle

 Table 2.1 General overview of the data availability and mission performances for the S3A and S3B cycles evaluated Colours indicate performance: OK (green), Warning (yellow), and Not ok (red)





# 3 Processing baseline

Table 3.1 details the versions of the Processing Baseline (PB), and Level-1 and Level-2 Instrument Processing Facility software used for the products assessed. This is part of the Baseline Collection (BC) 004.

Cycle		Processing Baseline	IPF SM2 version	IPF SR1 version	IPF MW1 version
Sentinel-3A 084		2.72	06.10	06.30	06.11
Sentinel-3B	t <b>inel-3B</b> 065 1.49		06.19	06.20	06.11

Table 3.1 Processing baseline and IPF details.

The evolutions of the Sentinel-3 STM Processing Baseline since July 2016, end of commissioning phase, are summarized in the "Sentinel Online" Web pages:

https://sentinels.copernicus.eu/web/sentinel/technical-guides/sentinel-3-altimetry/processing-baseline



### 4.1 Orbit coverage and missing measurements

There may occur delays in the processing of the data at the processing centre, which means that the data products assessed in the cyclic reports might not represent 100% of the orbits in the full cycle. The percentage of L2 products of the full cycle, which this report builds on is presented in the Table 4.1.

For S3B Cycle 065 798 files are received, whereas 770 were expected. We expect two passes per one orbit. This means that a pass is separated into more passes or a duplication of files. Orbit number 277 is completely missing, and over Greenland also orbits 071 and 171 are missing.

Cycle		Product type	Latency	Passes processed	Expected passes	Expected orbits	Received orbits	% orbits received
Sentinel-3A	084	SR_2_LAN	STC	770	770	385	385	100%
Sentinel-3B	065		310	798	770	385	384	99.7%
Table 4.1 Data quality and the persent de off-ull quals of OTO quaduate								

Table 4.1 Data availability and the percentage of full cycle of STC products

## 4.2 Modes of operations

The on-board tracker of the Sentinel-3 altimeter has three different possible modes:

- Closed-loop mode: autonomous positioning of the range window using the median algorithm
- **Open-loop mode:** range window position based on a priori knowledge of terrain altitude derived from a Digital Elevation Model (DEM).
- Open-loop with fixed gain mode in addition to open-loop, constant acquisition gain values are applied

Figure 4.1 shows the tracking modes for both S3A and S3B. Mainly, the acquisition of the satellites is in closed-loop, except for Elephant Island and Coronation Island in the Southern Ocean where the tracking modes are Open-loop. In addition, open-loop commands have been defined for S3A over several specific continental glaciers (for glaciers in Himalaya, Patagonia, Alpes, Pyrénées, Andes and Tadjikistan).





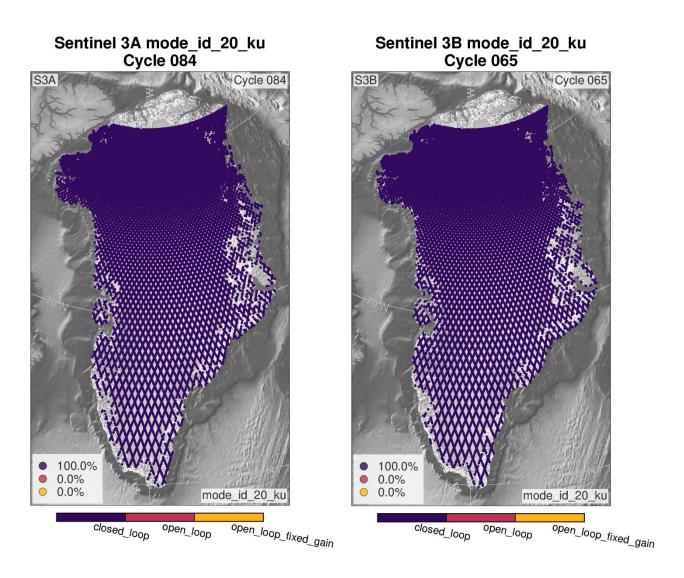


Figure 4.1 Tracking mode for S3A and S3B over Greenland from the mode\_id\_20\_ku parameter

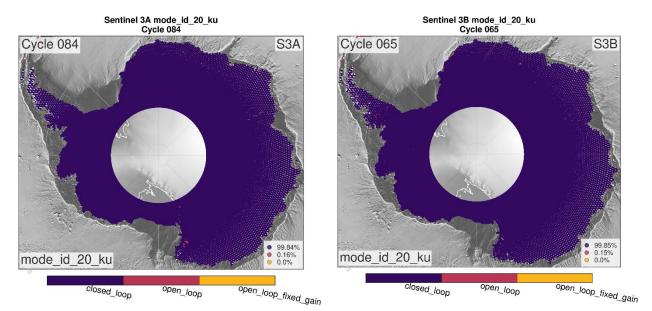


Figure 4.2 Tracking mode for S3A and S3B over Antarctica from the mode\_id\_20\_ku parameter





Since December 9<sup>th</sup>, 2021, the S3A also tracks with open-loop over targets in Victoria Land, Antarctica. The Figure 4.3 displays a satellite view over Victoria Land area, with the S3A tracks for which new Open Loop Tracking Commands have been defined. The theoretical ground tracks are referenced by Relative Orbit Number (RON).

More information about these acquisitions can be found in Sentinel Online:

https://sentinels.copernicus.eu/web/sentinel/-/sentinel-3a-changes-acquisition-mode-over-victorialand-glaciers/1.2?redirect=%2Fweb%2Fsentinel%2Fnews

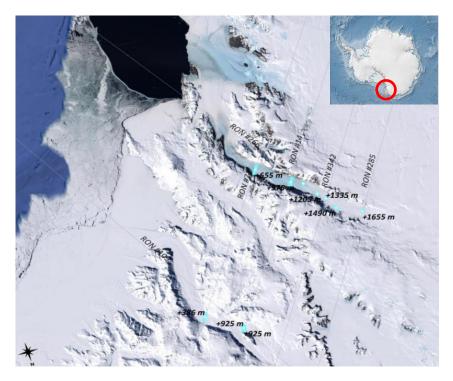


Figure 4.3 Satellite view of the Antarctica glacier area covered by new targets for Sentinel-3A in Open-Loop mode. Targeted glaciers used to set new elevation commands for Sentinel-3A SRAL altimeter waveform reception window in Open-Loop Mode is shown (cyan boxes) with corresponding elevation values. Copyright: Google Satellite, figure design CNES.

#### 4.3 Availability of geophysical corrections

The range from the satellite to the surface for each measurement is computed by applying several geophysical corrections and internal delay to the initial uncorrected range. It is important to track the availability and validity of these corrections since they are required for the final L2 elevation parameters and the derived ice sheet surface elevation change. The data over ice sheets and ice shelves are selected by using the *surface\_class* flag (Section 5.2.2) over Greenland and Antarctica.

In this section the availability of geophysical corrections in the L2 products over ice sheets and ice shelves are analysed and presented.



## 4.3.1 Availability of Geophysical Corrections over Ice Sheets

The geophysical corrections usually relevant for range measurements over ice sheets are the dry and wet troposphere, ionosphere delays, solid Earth tide, ocean loading tide, and polar tide. Table 4.2 and Table 4.3 summarize the availability for the Greenland and Antarctica Ice Sheets, for S3A and S3B.

S3A		S3B			
A Geophysical Correction	vailability (%)	Geophysical Correction	Availability (%)		
iono_cor_gim_01_ku load_tide_sol1_01 load_tide_sol2_01 mod_dry_tropo_cor_meas_altitude_01 mod_wet_tropo_cor_meas_altitude_01 ocean_tide_non_eq_01 pole_tide_01 solid_earth_tide_01	$\begin{array}{c} 100.00\\ 100.00\\ 100.00\\ 100.00\\ 100.00\\ 100.00\\ 100.00\\ 100.00\\ 100.00\\ 100.00\end{array}$	iono_cor_gim_01_ku load_tide_sol1_01 load_tide_sol2_01 mod_dry_tropo_cor_meas_altitude_01 mod_wet_tropo_cor_meas_altitude_01 ocean_tide_non_eq_01 pole_tide_01 solid_earth_tide_01	98.12 100.00 100.00 100.00 100.00 100.00 100.00 100.00		

Table 4.2 Percentage of Geophysical Correction availability over the Greenland ice sheet

S3A		S3B	
A Geophysical Correction	vailability (%)	Combusies Connection	Availability (%)
	100.00	Geophysical Correction	00.07
iono_cor_gim_01_ku	100.00	iono_cor_gim_01_ku	96.37
load_tide_sol1_01	100.00	load_tide_sol1_01	100.00
load_tide_sol2_01	100.00	load_tide_sol2_01	100.00
mod_dry_tropo_cor_meas_altitude_01	100.00	mod_dry_tropo_cor_meas_altitude_01	100.00
mod_wet_tropo_cor_meas_altitude_01	100.00	mod_wet_tropo_cor_meas_altitude_01	100.00
ocean_tide_non_eq_01	100.00	ocean_tide_non_eq_01	100.00
pole_tide_01	100.00	pole_tide_01	100.00
solid_earth_tide_01	100.00	solid_earth_tide_01	100.00

Table 4.3 Percentage of Geophysical Correction availability over the Antarctic Ice Sheet



## 4.3.2 Availability of Geophysical Corrections over Ice Shelves

Over the Antarctic ice shelves, the usual corrections applied to the range are the same as for the ice sheets including ocean tide and inverse barometric corrections. Table 4.4 summarizes the availability for S3A and S3B.

S3A		S3B	
	Availability (%)		Availability (%)
Geophysical Correction		Geophysical Correction	
hf_fluct_cor_01	100.00	hf_fluct_cor_01	100.00
inv_bar_cor_01	100.00	inv_bar_cor_01	100.00
iono_cor_gim_01_ku	100.00	iono_cor_gim_01_ku	99.40
load_tide_sol1_01	100.00	load_tide_sol1_01	100.00
load_tide_sol2_01	100.00	load_tide_sol2_01	100.00
mod_dry_tropo_cor_meas_altitude_01	100.00	mod_dry_tropo_cor_meas_altitude_01	100.00
mod_wet_tropo_cor_meas_altitude_01	100.00	mod_wet_tropo_cor_meas_altitude_01	100.00
ocean_tide_non_eq_01	100.00	ocean_tide_non_eq_01	100.00
ocean_tide_sol1_01	98.82	ocean_tide_sol1_01	98.84
ocean_tide_sol2_01	99.54	ocean_tide_sol2_01	99.56
pole_tide_01	100.00	pole_tide_01	100.00
solid_earth_tide_01	100.00	solid_earth_tide_01	100.00

Table 4.4 Percentage of Geophysical Correction availability over the Antarctic Ice Shelves.





## 5 Geophysical parameters monitoring

#### 5.1 Geophysical parameters derived from altimetry

Over land ice two different retrackers are implemented in the Sentinel-3 Instrument Processing Facilities (IPF) to retrieve geophysical parameters from SAR mode waveforms:

- The "OCOG/ICE-1 retracker" (Wingham D J, Rapley C G, and Griffiths H 1986; Bamber 1994) is an empirical algorithm commonly used over land surfaces. The OCOG/ICE-1 retracker is robust and will almost always return a topography estimation, even over rugged or steep topography, where the altimetry waveform may exhibit complex waveform shapes.
- The "UCL ice sheet retracker" is a model fit retracker, optimised for use over areas of low slope where the returned waveform has a classical shape typical of flat and smooth ice sheet surfaces. The echo model used has a modified gaussian form, corresponding to a six parameterizable function with 5-section modelling. It has a heritage from the CryoSat-2 mission's Wingham/Wallis retracker (Wingham and Wallis 2010).

Two main geophysical parameters are derived from both retrackers:

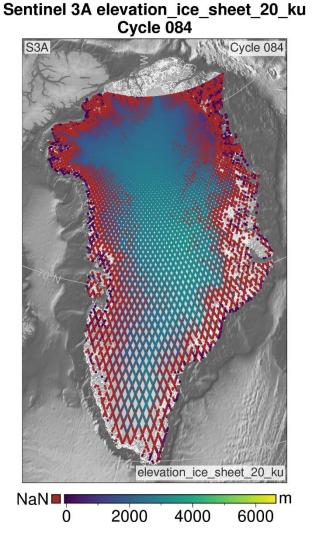
- The surface elevation with respect to the reference ellipsoid (WGS-84). Parameter's name in the level-2 LAND products are "elevation\_ocog\_20\_ku" and "elevation\_ice\_sheet\_20\_ku", respectively estimated with the "OCOG/ICE-1" and "UCL ice sheet" retrackers.
- The backscattering coefficient (Sigma-0). Parameter's name in the level-2 LAND products are "sig0\_ocog\_20\_ku" and "sig0\_ice\_sheet\_20\_ku", respectively estimated with the "OCOG/ICE-1" and "UCL ice sheet" retrackers. The backscatter values are controlled by surface characteristics, such as slope, roughness, and surface properties (volume vs. surface scattering). It is an important parameter and is used for deriving accurate estimates of ice/snow surface elevation changes.

In addition, the altimeter range and backscattering coefficient derived from the Pseudo-LRM (PLRM) waveforms are also available in the level-2 products assessed. They are retrieved by the ICE-2 retracker (Legresy and Remy 1997). Parameter's names are respectively "range\_ice\_20\_plrm\_ku" and "sig0\_ice\_20\_plrm\_ku".



## 5.1.1 20 Hz Ku Band Elevation (elevation\_ice\_sheet\_20\_ku)

Figure 5.1 shows the elevation\_ice\_sheet\_20\_ku parameter over the Greenland ice sheet for the S3A and S3B full cycles, while Figure 5.2 shows the percentage of parameter failure (NaN reported) evaluated in 5x5 km grid cells.



Sentinel 3B elevation\_ice\_sheet\_20\_ku Cycle 065

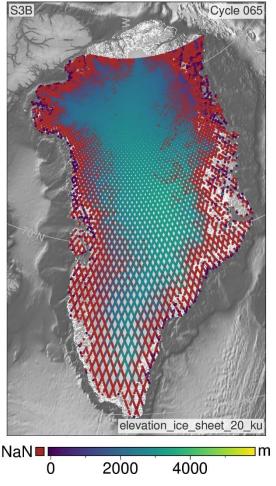


Figure 5.1 SAR mode Ice sheet elevation over Greenland from the elevation\_ice\_sheet\_20\_ku parameter



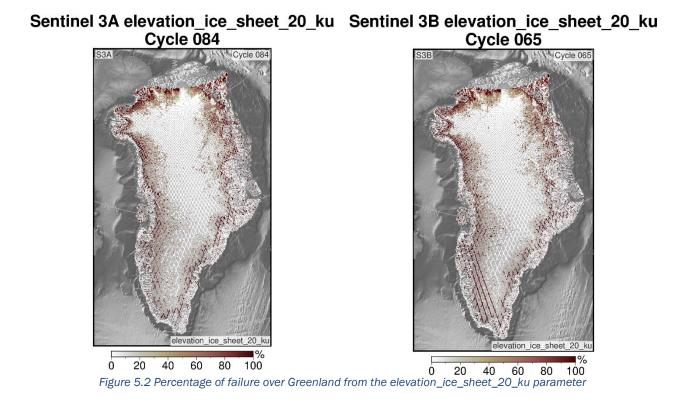


Figure 5.3 shows the elevation\_ice\_sheet\_20\_ku parameter over Antarctica for the S3A and S3B full cycles, while Figure 5.4 shows the percentage of parameter failure (NaN reported) evaluated in 10x10 km grid cells.

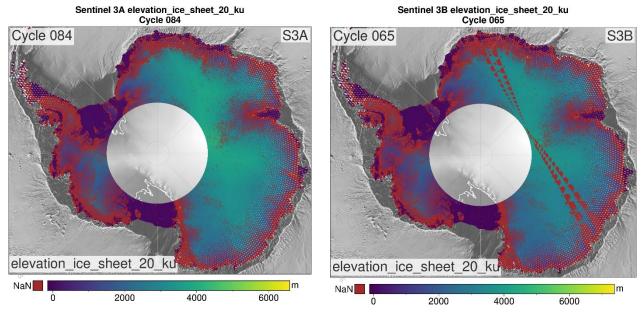


Figure 5.3 SAR mode elevation over Antarctica from the elevation\_ice\_sheet\_20\_ku parameter





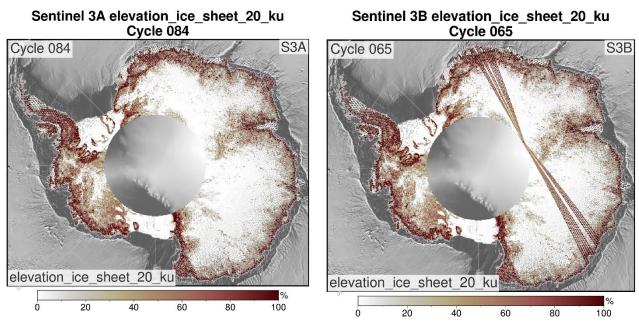
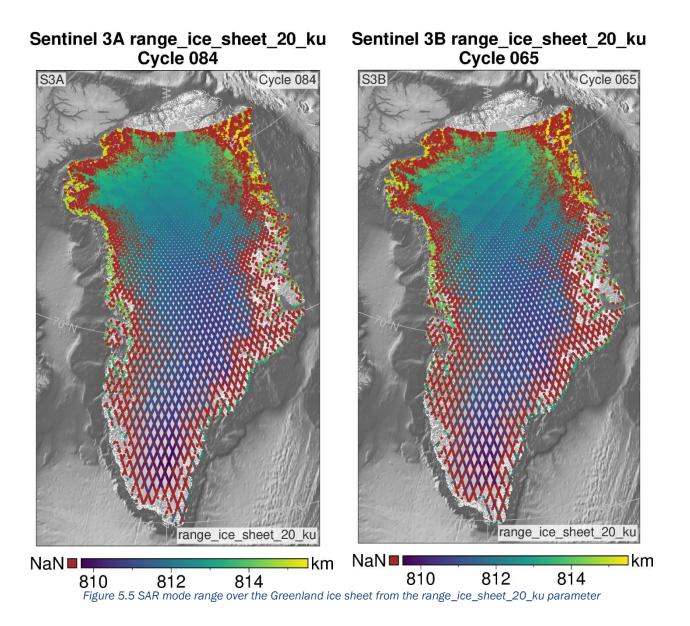


Figure 5.4 Percentage of failure over Antarctica from the elevation\_ice\_sheet\_20\_ku parameter

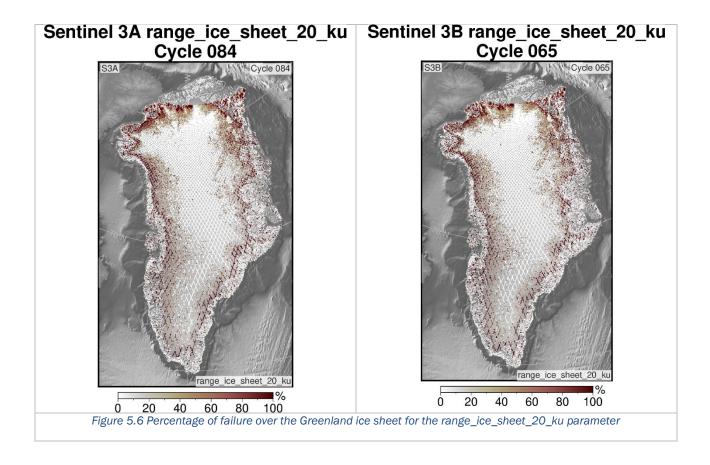
## 5.1.2 20 Hz Ku Band Ice Sheet Range (range\_ice\_sheet\_20\_ku)

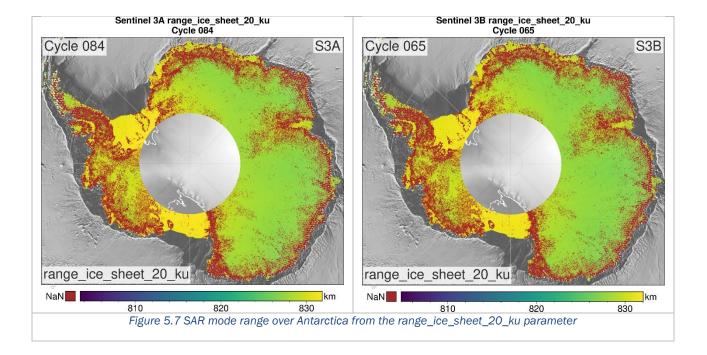
Figure 5.5 shows the range\_ice\_sheet\_20\_ku\_parameter over the Greenland ice sheet for the S3A and S3B full cycles, while Figure 5.6 shows the percentage of parameter failure (NaN reported) evaluated in 5x5 km grid cells. Figure 5.7 shows the range\_ice\_sheet\_20\_ku parameter over the Antarctic ice sheets for the S3A and S3B full cycles, while Figure 5.8 shows the percentage of parameter failure (NaN reported) evaluated in 10x10km grid cells.













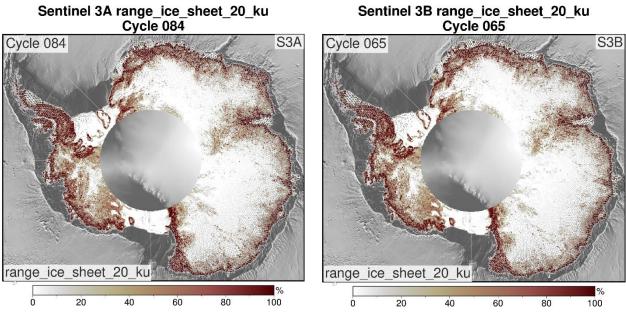


Figure 5.8 Percentage of failure over Antarctica for the range\_ice\_sheet parameter

## 5.1.3 20Hz Ku Band Ice Sheet Sigma0 (sig0\_ice\_sheet\_20\_ku)

Figure 5.9 shows the sig0\_ice\_sheet\_20\_ku parameter over the Greenland ice sheet for the S3A and S3B full cycles, while Figure 5.11 shows the percentage of parameter failure (NaN reported) evaluated in 5x5km grid cells. Figure 5.12 shows the Sigma0 ice sheet 20 Ku parameter over the Antarctic ice sheets for the S3A and S3B full cycles. Figure 5.14 shows the percentage of parameter failure (NaN reported) evaluated in 10x10 km grid cells.

The backscatter coefficient (sig0\_ice\_sheet\_20\_ku) distribution over the Greenland Ice Sheet, and statistics given by the Number of Observations, Median (dB), Median Absolute Deviation (MAD) in dB, and the Interquartile Range (IQR) given in dB. Figure 5.10 and Figure 5.13 show the distribution and statistics of the sig0\_ice\_sheet\_20\_ku parameter for the Greenland Ice Sheet and Antarctica, respectively. For the Median Absolute Deviation (MAD) a non-normal distribution is assumed.



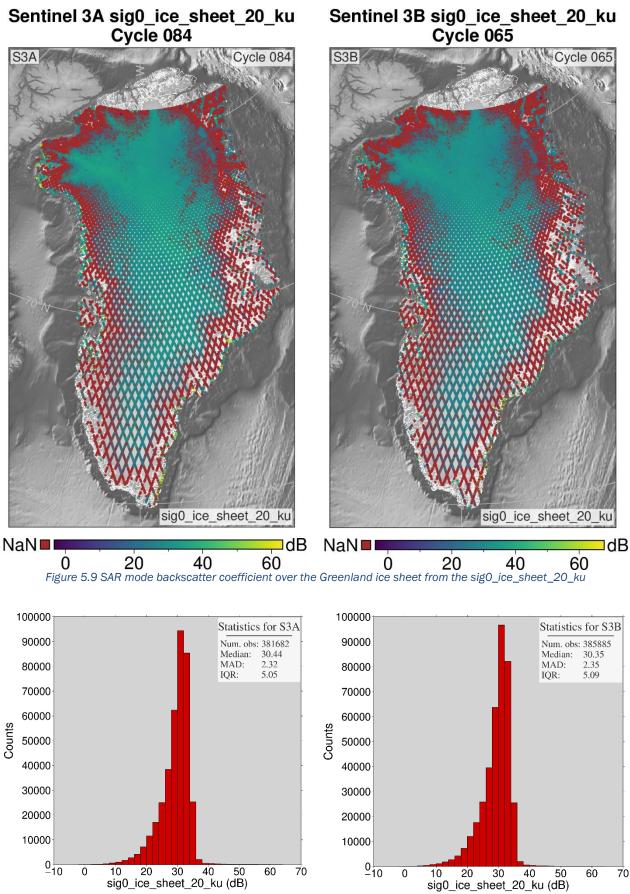
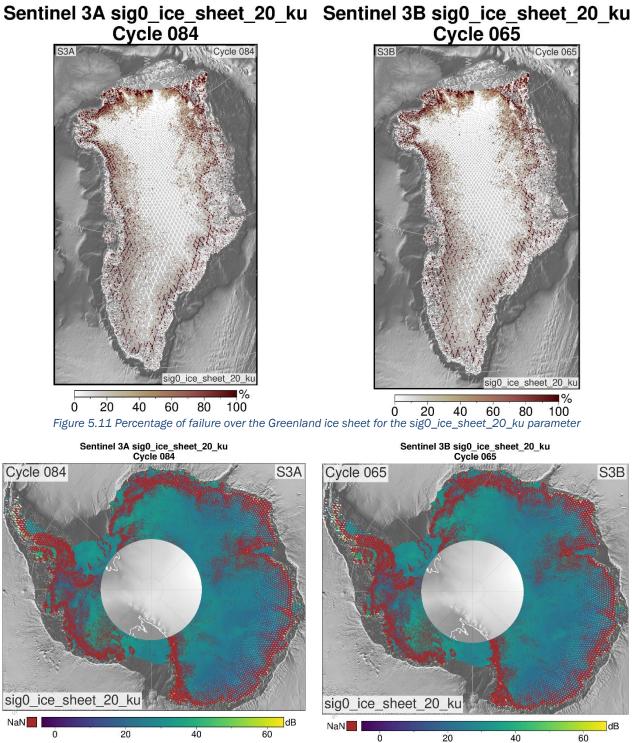


Figure 5.10 The SAR mode backscatter coefficient (sig0\_ice\_sheet\_20\_ku) distribution over the Greenland Ice Sheet, and statistics given by the Number of Observations, Median (dB), Median Absolute distribution (MAD) in dB, and the Interquartile Range (IQR) given in dB.



Sentinel-3 Mission Performance Cluster Under Tragendy Mare



0 20 40 60 0 20 40 6 Figure 5.12 SAR mode backscatter coefficient over Antarctica from the sig0\_ice\_sheet\_20\_ku parameter





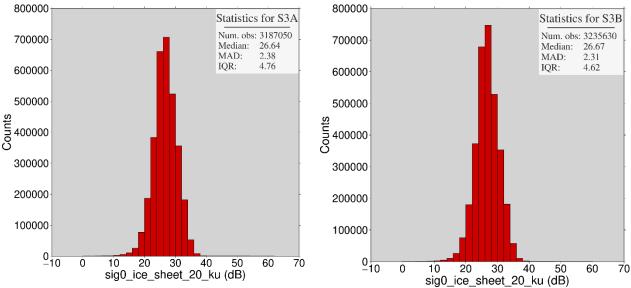


Figure 5.13 The SAR mode backscatter coefficient (sig0\_ice\_sheet\_20\_ku) distribution over Antarctica and statistics given by the Number of Observations, Median (dB), Median Absolute distribution (MAD) in dB, and the Interquartile Range (IQR) given in dB.

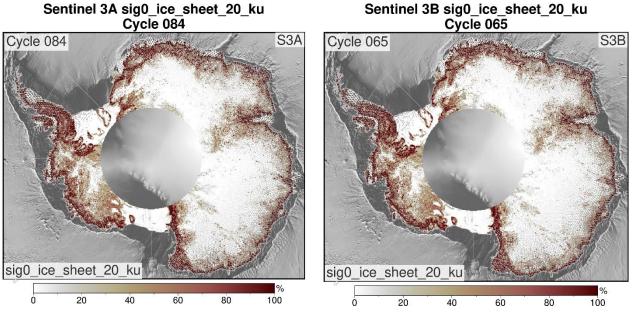


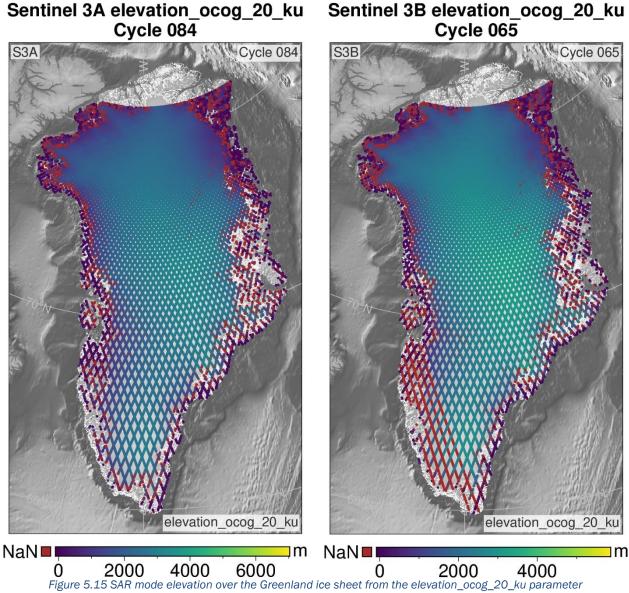
Figure 5.14 Percentage of failure over Antarctica for the sig0\_ice\_sheet\_20\_ku parameter





# 5.1.4 20 Hz Ku Band OCOG (Ice-1) Elevation (elevation\_ocog\_20\_ku)

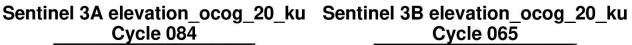
Figure 5.15 shows the elevation\_ocog\_20\_ku parameter over the Greenland ice sheet for the S3A and S3B full cycles, while Figure 5.16 shows the percentage of parameter failure (NaN reported) evaluated in 5x5 km grid cells. Figure 5.17 shows the elevation\_ice\_sheet\_20\_ku parameter over the Antarctic ice sheets for the S3A and S3B full cycles, while Figure 5.18 shows the percentage of parameter failure (NaN reported) evaluated in 10x10 km grid cells.

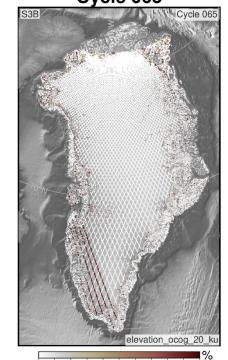


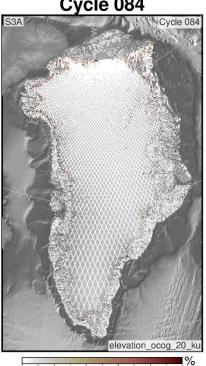












0 20 40 60 80 100 0 20 40 60 80 100 Figure 5.16 Percentage of failure over the Greenland ice sheet for the elevation\_ocog\_20\_ku parameter

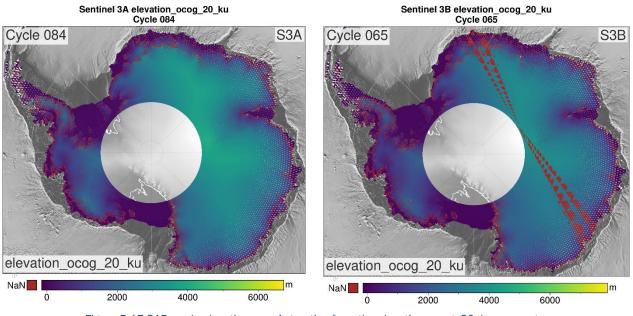


Figure 5.17 SAR mode elevation over Antarctica from the elevation\_ocog\_20\_ku parameter





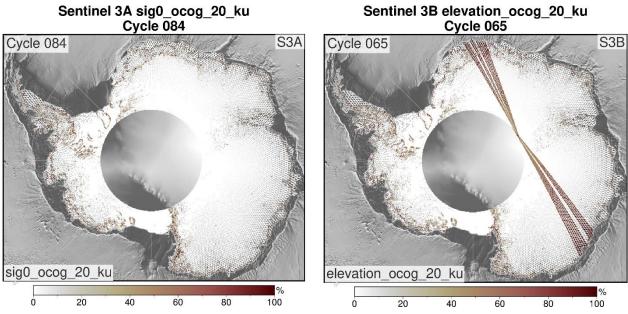


Figure 5.18 Percentage of failure over Antarctica for the elevation\_ocog\_20\_ku parameter

# 5.1.5 8 20 Hz Ku Band OCOG (Ice-1) Sigma0 (sig0\_ocog\_20\_ku)

Figure 5.19 shows the sig0\_ocog\_20\_ku parameter over the Greenland ice sheet for the S3A and S3B full cycles, while Figure 5.21 shows the percentage of parameter failure (NaN reported) evaluated in 5x5 km grid cells. Figure 5.22 shows the sig0\_ocog\_20\_ku parameter over the Antarctic ice sheets for the S3A and S3B full cycles, while Figure 5.24 shows the percentage of parameter failure (NaN reported) evaluated in 10x10 km grid cells. Figure 5.20 and Figure 5.23 show the distribution and statistics of the sig0\_ice\_sheet\_20\_ku parameter for the Greenland Ice Sheet and Antarctica, respectively. For the Median Absolute Deviation (MAD) a non-normal distribution is assumed.



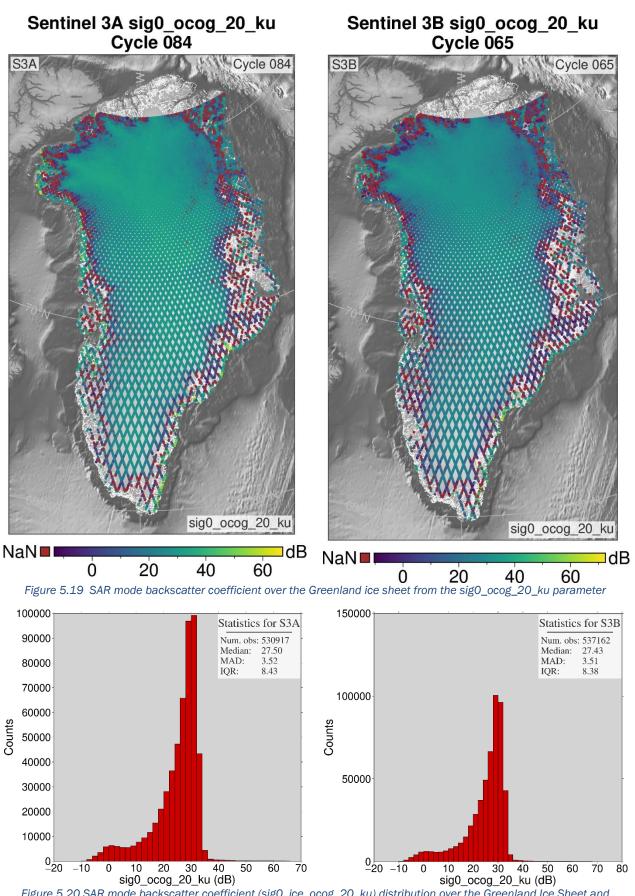


Figure 5.20 SAR mode backscatter coefficient (sig0\_ice\_ocog\_20\_ku) distribution over the Greenland Ice Sheet and statistics given by the Number of Observations, Median (dB), Median Absolute distribution (MAD) in dB, and the Interquartile Range (IQR) given in dB.

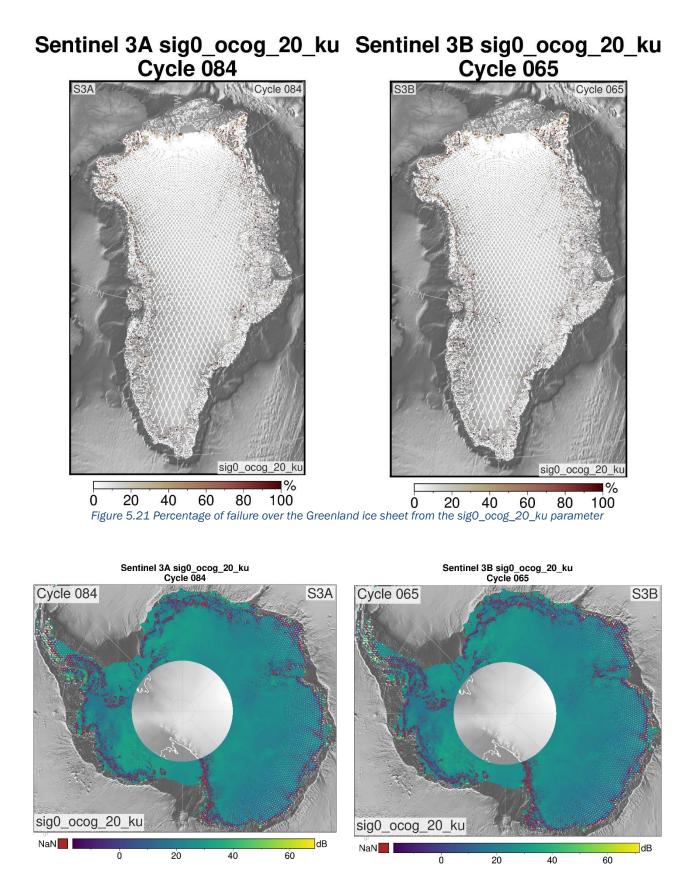


Figure 5.22 SAR mode backscatter coefficient over Antarctica from the sig0\_ocog\_20\_ku parameter



Sentinel-3 Mission Performance Cluster at lotters Transmitter

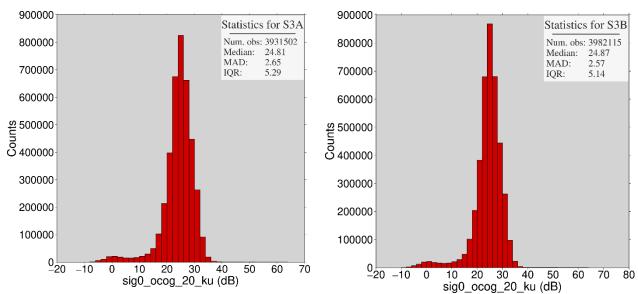
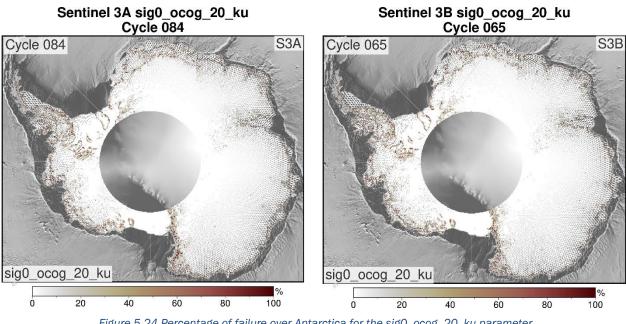


Figure 5.23 The backscatter coefficient (sig0 ocog 20 ku) distribution over Antarctica and statistics given by the Number of Observations, Median (dB), Median Absolute distribution (MAD) in dB, and the Interguartile Range (IQR) given in dB.



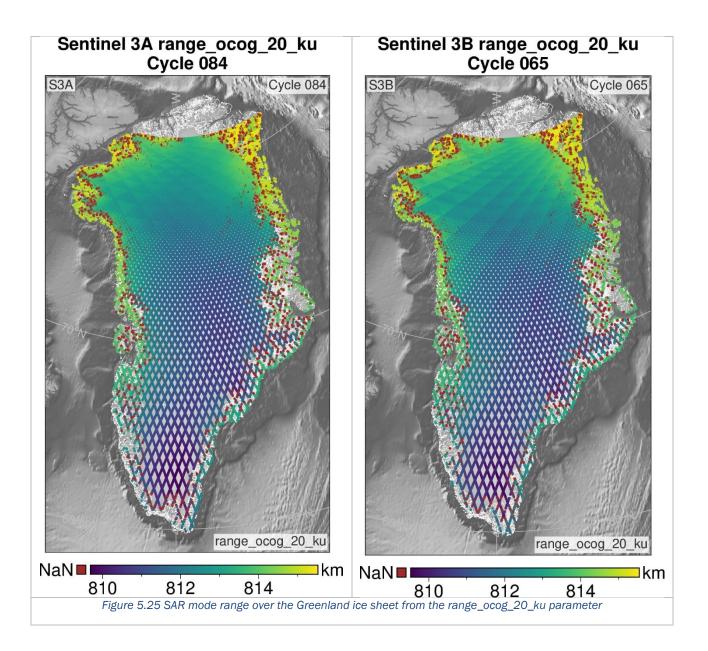
#### Figure 5.24 Percentage of failure over Antarctica for the sig0\_ocog\_20\_ku parameter

#### 5.1.6 20 Hz Ku Band OCOG (Ice-1) Range (range\_ocog\_20\_ku)

Figure 5.25 shows the range\_ocog\_20\_ku parameter over the Greenland ice sheet for the S3A and S3B full cycles, while Figure 5.26 shows the percentage of parameter failure (NaN reported) evaluated in 5x5 km grid cells. Figure 5.27 shows the range\_ocog\_20\_ku parameter over the Antarctic ice sheets for the S3A and S3B full cycles, while Figure 5.28 shows the percentage of parameter failure (NaN reported) evaluated in 10x10 km grid cells.









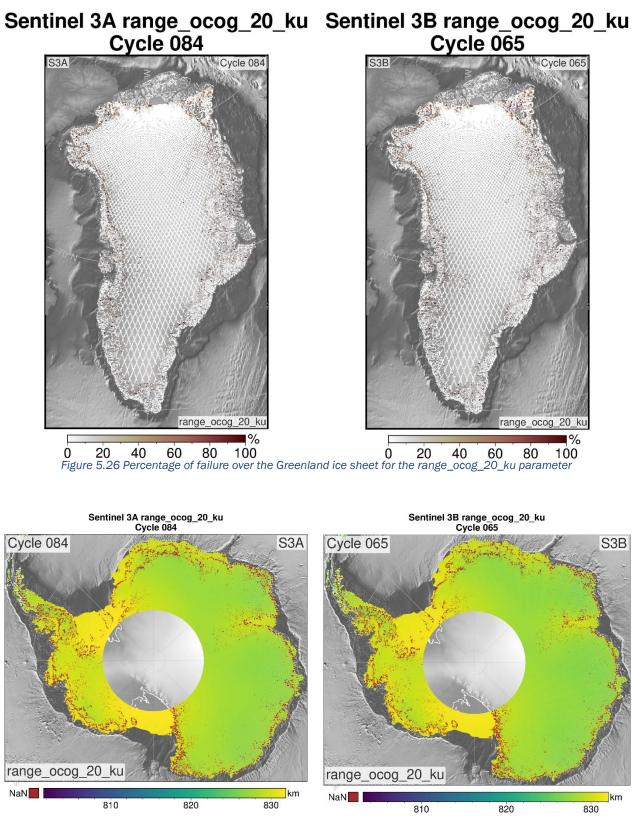


Figure 5.27 SAR Mode range over Antarctica from the range\_ocog\_20\_ku parameter



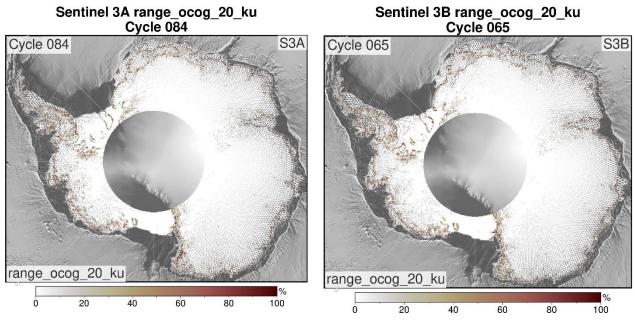


Figure 5.28 Percentage of failure over Antarctica for the range\_ocog\_20\_ku parameter



## 5.1.7 PLRM Ice Range (range\_ice\_20\_plrm\_ku)

The PLRM range over the Greenland ice sheet and the Antarctic ice sheets are shown in Figure 5.29 and Figure 5.31, respectively. Their respective percentage of parameter failure (NaN reported) is shown in Figure 5.30 and Figure 5.32. The latter is evaluated in 5x5 km grid cells in Greenland and in 10x10 km grid cells in Antarctica.

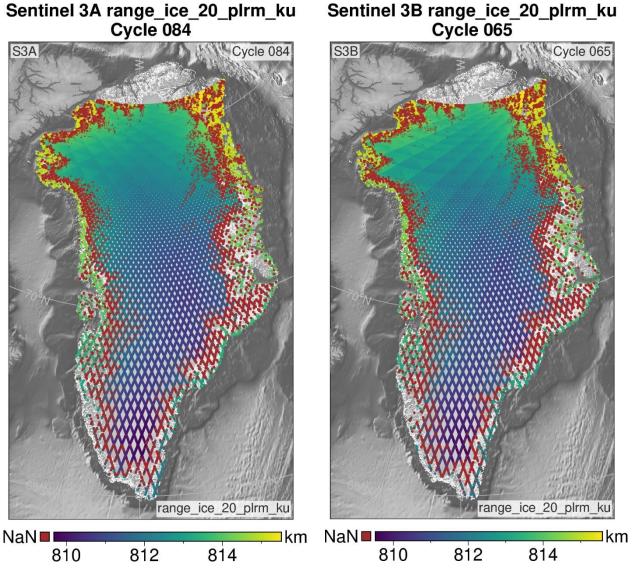
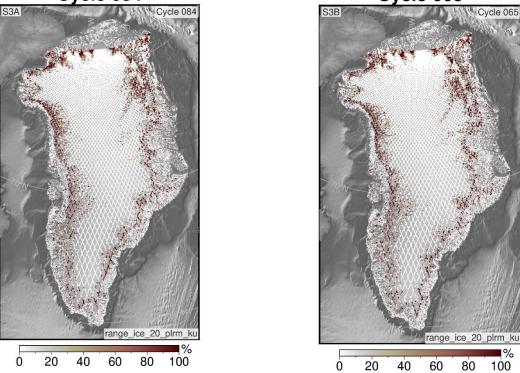


Figure 5.29 PLRM range over the Greenland Ice sheet from the range\_ice\_20\_plrm\_ku parameter











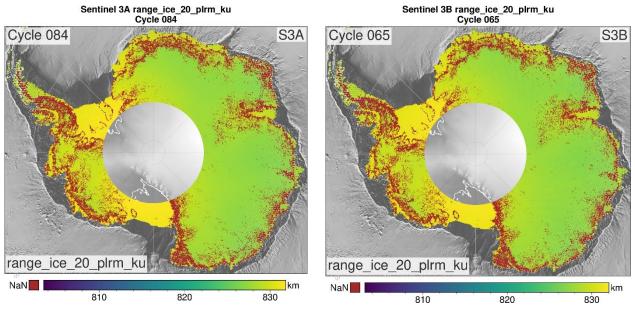


Figure 5.31 PLRM range over the Antarctica Ice sheet from the range\_ice\_20\_plrm\_ku parameter





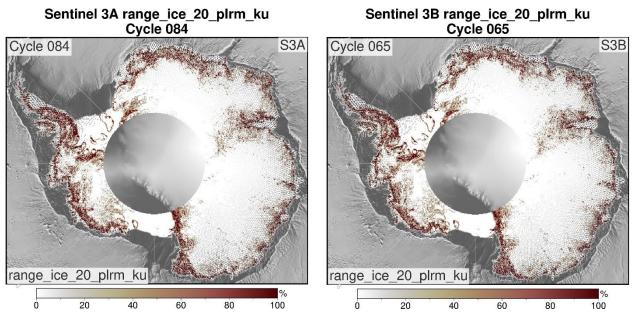


Figure 5.32 Percentage of failure over Antarctica from the range\_ice\_20\_plrm\_ku parameter





## 5.1.8 PLRM Ice Sigma0 (sig0\_ice\_20\_plrm\_ku)

The backscatter coefficient (sigma0) derived from the 20 Hz Ku-PLRM waveform (sig0\_ice\_20\_plrm\_ku) parameter are shown below. The PLRM Sigma0 over the Greenland and the Antarctica ice sheets are shown in Figure 5.33 and Figure 5.36, respectively. Their respective percentage of parameter failure (NaN reported) are shown in Figure 5.35 and Figure 5.38. The latter are evaluated in 5x5 km grid cells in Greenland and in 10x10 km grid cells in Antarctica.

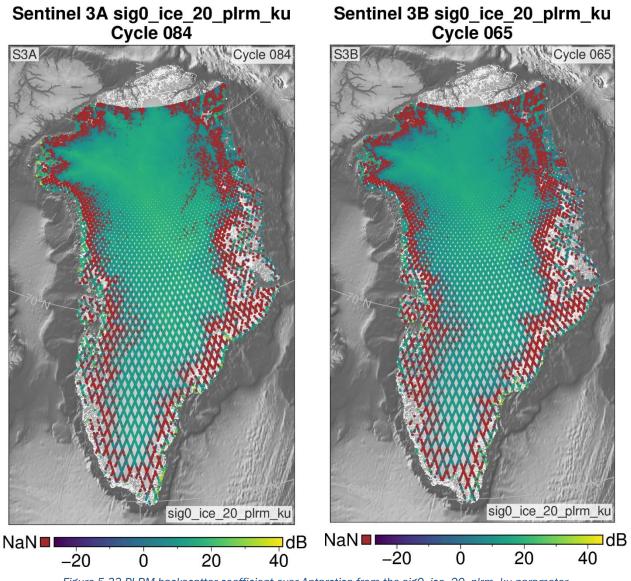


Figure 5.33 PLRM backscatter coefficient over Antarctica from the sig0\_ice\_20\_plrm\_ku parameter





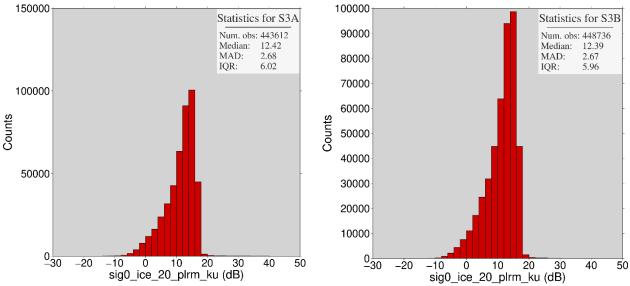


Figure 5.34 The backscatter coefficient (sig0\_ice\_20\_plrm\_ku) distribution over Greenland and statistics given by the Number of Observations, Median (dB), Median Absolute distribution (MAD) in dB, and the Interquartile Range (IQR) in dB.

# Sentinel 3A sig0\_ice\_20\_plrm\_ku Cycle 084 Sentinel 3B sig0\_ice\_20\_plrm\_ku Cycle 065

 0
 20
 40
 60
 80
 100
 0
 20
 40
 60
 80
 100

 Figure 5.35 Percentage of failure over the Greenland Ice sheet from the sig0\_ice\_20\_plrm\_ku parameter



sig0\_ice\_20\_plrm\_ku



sig0\_ice\_20\_plrm\_ku

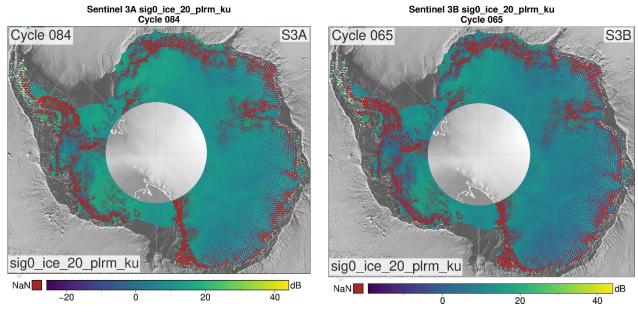


Figure 5.36 PLRM backscatter coefficient over Antarctica from the sig0\_ice\_20\_plrm\_ku parameter

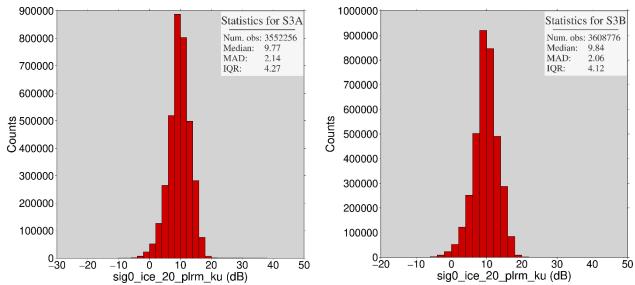


Figure 5.37 The backscatter coefficient (sig0\_ice\_20\_plrm\_ku) distribution over Antarctica and statistics given by the Number of Observations, Median (dB), Median Absolute distribution (MAD) in dB, and the Interquartile Range (IQR) given in dB.



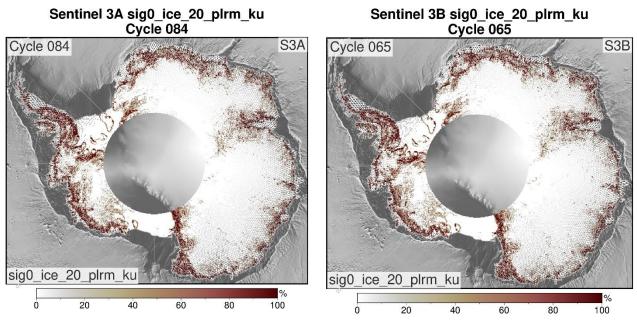


Figure 5.38 Percentage of failure over Antarctica for the sig0\_ice\_plrm\_ku parameter

## 5.1.9 Waveform Quality Flag (waveform\_qual\_ice\_20\_ku)

The waveform quality flag gives the data users information about the quality of the waveforms for use in the calculation of range and elevation, and hence on the useability of the data. The criteria applied to assess each waveform are listed below Table 2.1. The value of waveform\_qual\_ice\_20\_ku is zero (ok) if all tests are passed. The waveform quality flags for the present S3A and S3B cycles are shown for the Greenland ice sheet in Figure 5.39, and for Antarctica in Figure 5.40.

Test Description	Threshold	Flag Bit Set
Total Power in waveform $<$ threshold	2500	1
Average noise power in gates $6-9^* > $ threshold *Noise gates starts at 0	12.5	2
Variance $>$ threshold	7.0	4
Leading Edge Test > threshold Flag set if power to left of gate 42 > threshold * power to right	1.0	8
Peakiness < Low Threshold	0.9	16
Peakiness > High Threshold	1e12	32

Table 5.1 The thresholds used for each waveform quality test



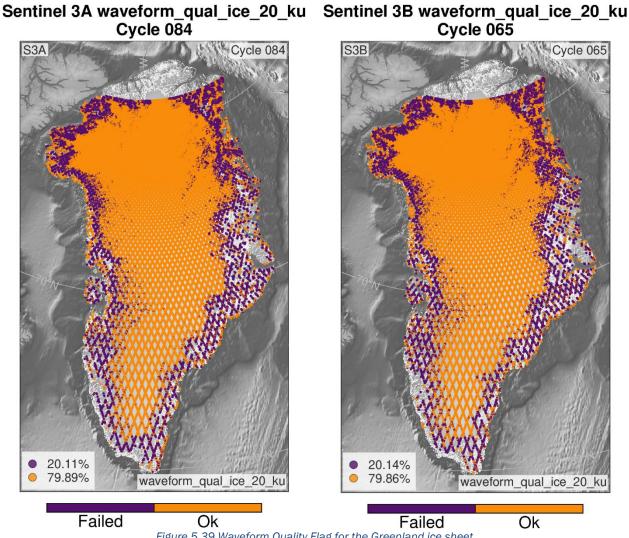


Figure 5.39 Waveform Quality Flag for the Greenland ice sheet

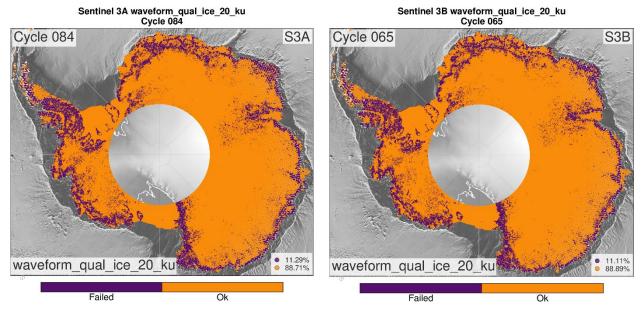


Figure 5.40 Waveform Quality Flag for Antarctica



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#### 5.1.10 Slope correction

A slope correction is applied to each measurement to relocate the SAR echo to the point of closest approach (POCA). The slope-corrected coordinates are given by the variables lat\_cor\_20\_ku and lon\_cor\_20 ku for the 20 Hz Ku measurements. The figures below show whether the slope correction was successful or not over Greenland (Figure 5.41) and Antarctica (Figure 5.42).

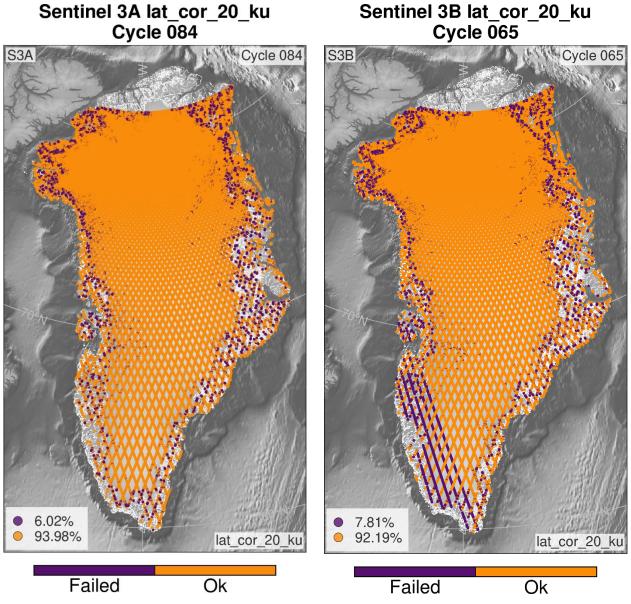


Figure 5.41 Slope correction failure for the Greenland ice sheet



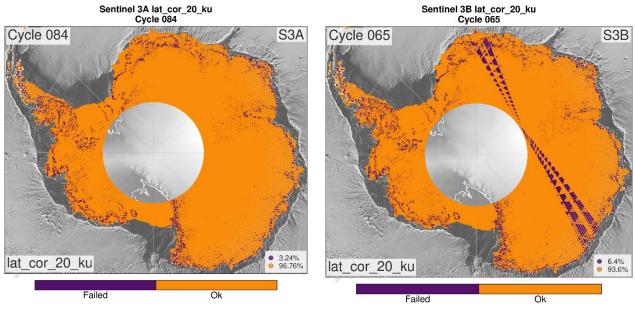


Figure 5.42 Slope correction failure for Antarctica

#### 5.2 Auxiliary parameters monitoring

Besides the information derived from the individual altimetric waveforms, the S3A and S3B products contain several geophysical parameters, which are derived from auxiliary data, and which are useful for the end-user of the data products. These parameters include surface type, surface class, and slope correction. In the following, these geophysical parameters for the current S3A and S3B cycles are presented.

### 5.2.1 20 Hz Ku Band Surface Type (surf\_type\_20\_ku)

The 20 Hz Ku band surface type parameter (surf\_type\_20\_ku) is derived from a static grid which provides four types: open oceans or semi-enclosed seas, enclosed seas or lakes, continental ice, and land. The surf\_class\_20\_ku parameter for the current cycles of S3A and S3B are shown for Greenland in Figure 5.45 and for Antarctica in Figure 5.46. The figures also provide information on the percentage of data that falls into each surface class.





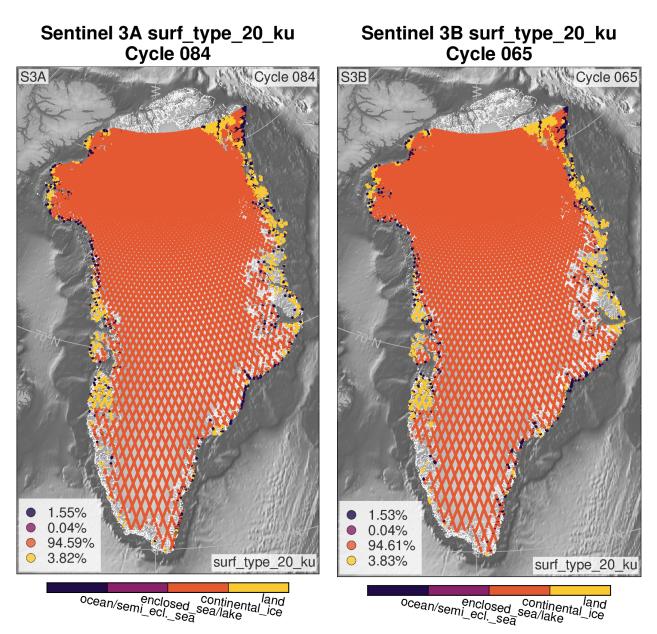


Figure 5.43 Surface Type for Greenland ice sheet from the surf\_type\_20\_ku parameter





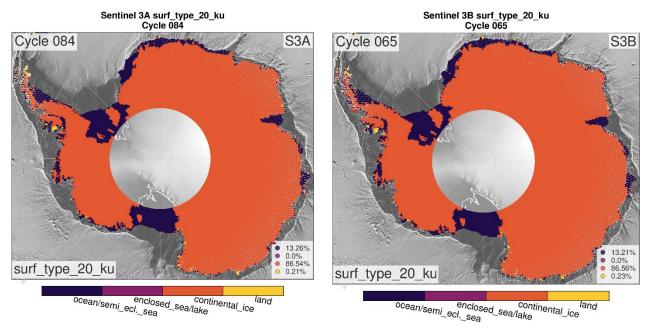


Figure 5.44 Surface Type for Antarctic Ice Sheet and Ice cap from the surf\_type\_20\_ku parameter

## 5.2.2 20 Hz Ku Band Surface Class (surf\_class\_20\_ku)

The 20 Hz Ku surface classification parameter (surf\_class\_20\_ku) is derived from MODIS and GlobCover data. The possible surface classes are: Open ocean, Land, Continental water, Aquatic vegetation, Continental ice, Floating ice, and Salt basins. The surf\_class\_20\_ku parameter for the current cycles of S3A and S3B are shown for Greenland in Figure 5.45 and for Antarctica in Figure 5.46. The figures also provide information on the percentage of data that falls into each surface class.

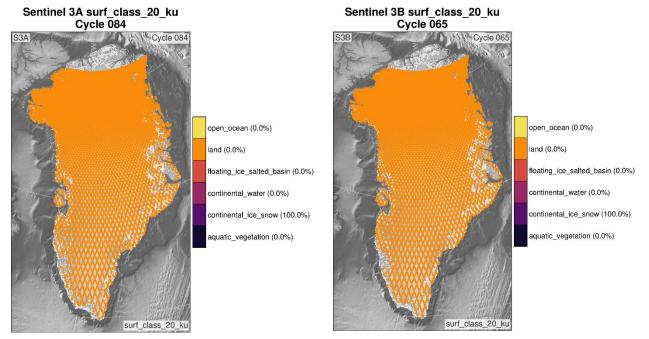


Figure 5.45 Surface Class for the Greenland ice sheet from the surf\_class\_20\_ku parameter



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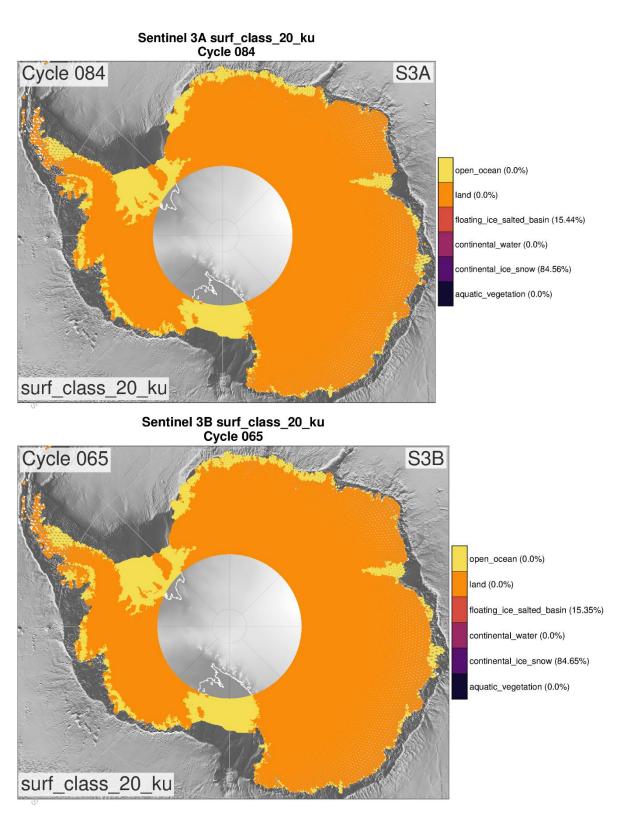


Figure 5.46 Surface Class for Antarctica from the surf\_class\_20\_ku parameter



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## 6 Crossover Analysis

The observed elevation difference at ground-track crosses is a primary method of assessing the precision of L2 altimetry elevation data. Here, we assess the elevation difference for the two available retrackers:

- UCL Ice sheet retracker ("elevation\_ice\_sheet\_20\_ku")
- OCOG/ICE-1 retracker ("elevation\_ocog\_sheet\_20\_ku")

The crossover difference of the elevation observations is derived for the full cycle of observations. It should be noted that the ice sheet surface elevation is in some area changing over the timespan of a cycle due to e.g., weather and snowpack properties. This may give raise to a natural crossover bias which is not associated with precision of L2 altimetry elevation data. Therefore for more in-depth analysis the timing between crossover should be shortened. The crossover statistics are below as tables, maps, and histograms.

Greenland		Sentinel-3A 084		Sentinel-S3B 065	
Retracker:		UCL ice sheet retracker	OCOG	UCL ice sheet retracker	OCOG
All	Observation [#]	3235	7098	3351	7299
	Mean [m]	0.66	4.07	-5.90	1.70
	Std [m]	172.54	150.15	199.78	121.52
dh < 0.5 m	Observation [%]	66.83	35.70	64.67	36.10
	Mean [m]	-0.00	-0.00	-0.00	-0.00
	Std [m]	0.20	0.19	0.20	0.19
dh < 1.0 m	Observation [%]	75.52	43.12	74.43	43.12
	Mean [m]	-0.00	-0.00	-0.00	-0.01
	Std [m]	0.31	0.35	0.32	0.34
dh < 2.0 m	Observation [%]	83.03	50.61	81.56	50.61
	Mean [m]	0.01	0.02	0.01	0.00
	Std [m]	0.52	0.65	0.52	0.64
dh < 5.0 m	Observation [%]	88.22	60.03	87.71	59.83
	Mean [m]	0.03	0.06	0.02	0.01
	Std [m]	0.91	1.45	1.01	1.44
dh < 10.0 m	Observation [%]	91.38	67.37	91.26	67.68
	Mean [m]	0.07	0.09	0.07	0.07
	Std [m]	1.62	2.80	1.75	2.85

#### 6.1 Greenland

Table 6.1 Crossover elevation difference statistics for Greenland



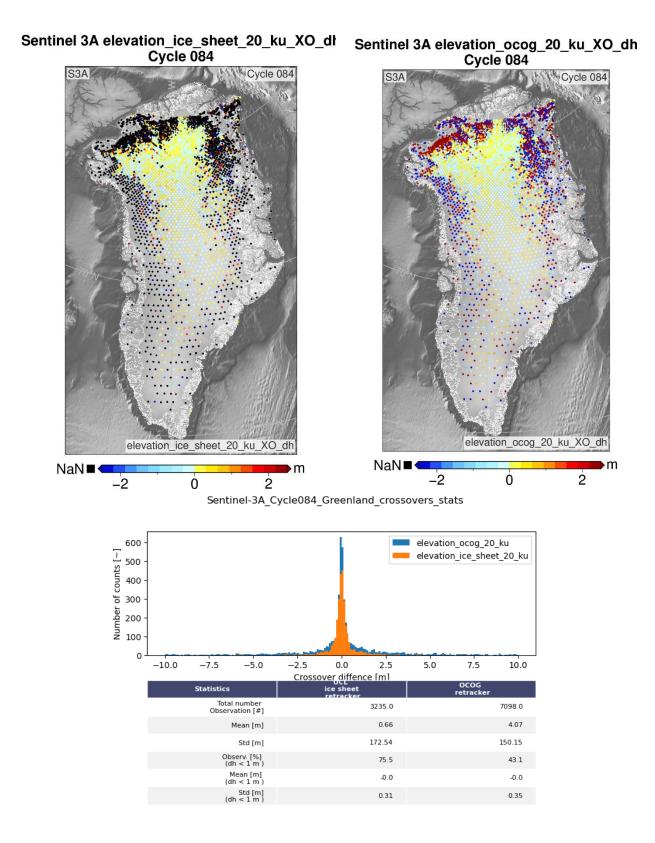
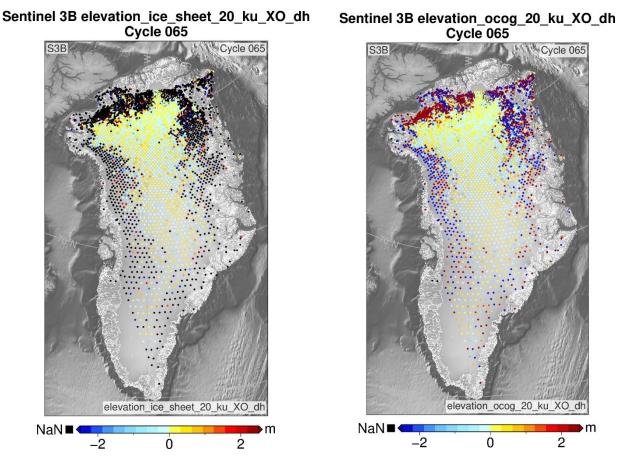


Figure 6.1 SAR mode elevation differences at ground track crossover locations for Sentinel-3A, for the two retrackers (upper panel). Histograms of crossover elevation differences (lower panel)





Sentinel-3B\_Cycle065\_Greenland\_crossovers\_stats

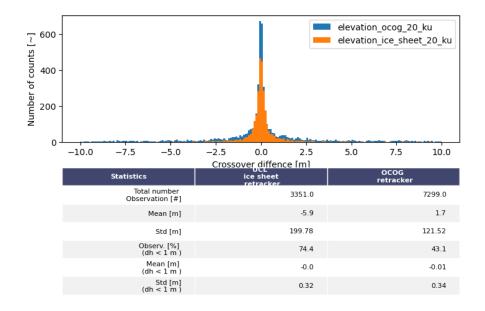


Figure 6.2 SAR mode elevation differences at ground track crossovers for Sentinel-3B for the two retrackers (upper panel). Histograms of crossover elevation differences (lower panel)



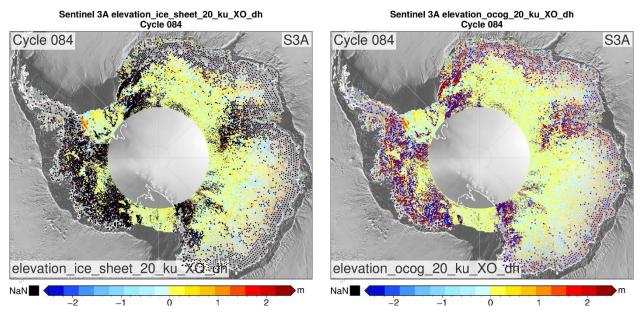
#### 6.2 Antarctica

Antarctica		Sentinel-3A		Sentinel-3B	
		084		065	
Retracker:		UCL ice sheet retracker	OCOG	UCL ice sheet retracker	OCOG
All	Observation [#]	34481	49156	31966	46171
	Mean [m]	-0.68	0.66	-0.76	-0.09
	Std [m]	118.73	70.90	118.12	82.65
dh < 0.5 m	Observation [%]	75.51	63.94	74.38	61.94
	Mean [m]	-0.00	-0.01	-0.00	-0.00
	Std [m]	0.22	0.19	0.22	0.20
dh < 1.0 m	Observation [%]	88.61	72.66	87.45	71.04
	Mean [m]	-0.00	-0.00	-0.00	-0.01
	Std [m]	0.34	0.31	0.34	0.32
dh < 2.0 m	Observation [%]	94.12	79.25	93.70	78.33
	Mean [m]	-0.01	-0.01	-0.01	-0.01
	Std [m]	0.47	0.51	0.49	0.53
dh < 5.0 m	Observation [%]	96.89	86.01	96.73	85.39
	Mean [m]	-0.01	-0.00	-0.01	-0.01
	Std [m]	0.70	1.05	0.73	1.08
dh < 10.0 m	Observation [%]	97.69	89.99	97.67	89.47
	Mean [m]	-0.01	-0.00	-0.01	-0.01
	Std [m]	0.96	1.84	1.01	1.87

Table 6.2 Crossover elevation difference statistics for Antarctica







Sentinel-3A\_Cycle084\_Antarctica\_crossovers\_stats

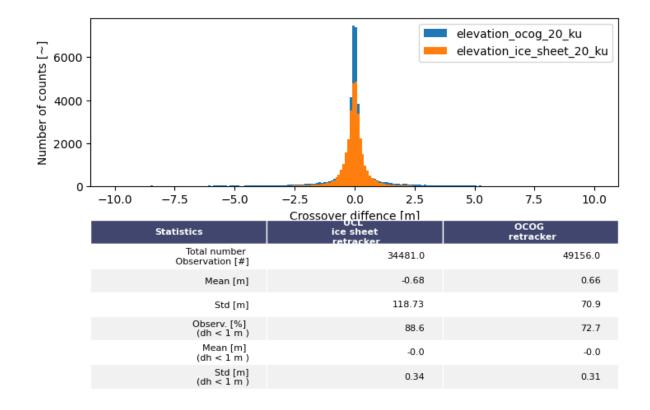
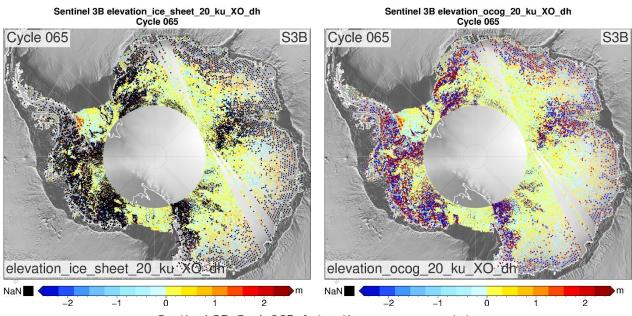


Figure 6.3 SAR mode elevation differences at ground track crossover locations for Sentinel-3A, for the two retrackers (upper panel). Histograms of crossover elevation differences (lower panel).





Sentinel-3B\_Cycle065\_Antarctica\_crossovers\_stats

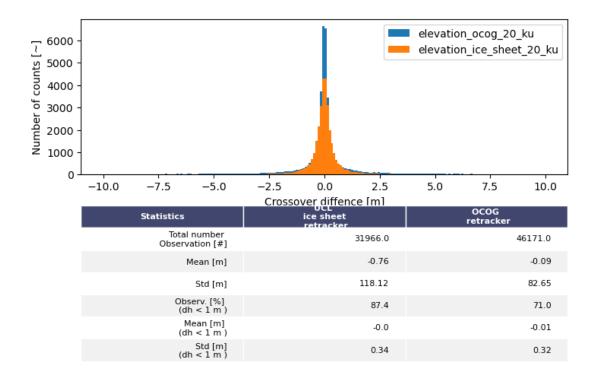


Figure 6.4 SAR mode elevation differences at ground track crossovers for Sentinel-3B for the two retrackers (upper panel). Histograms of crossover elevation differences (lower panel)



# Appendix A - Useful links

The Product Format Specification applicable to the SRAL Level 2 products assessed in this report is available in Sentinel Online, version 2.15:

https://sentinel.esa.int/documents/247904/2753172/Sentinel-3-Product-Data-Format-Specification-Level-2-Land.pdf/a176f07a-d9bd-4589-8c29-92c3487a9c7b?t=1611592513420

All cyclic performance reports are available on the mission performance cluster page at Sentinel-online webpage (<u>https://sentinel.esa.int/web/sentinel/technical-guides/sentinel-3-altimetry/mission-performance</u>)

All plots were made using Python and PyGMT (0.5.0) a Python wrapper for The Generic Mapping Tools (GMT) Version 6.3.0 (Wessel et al. 2019; Uieda et al. 2021)

## Appendix B - Reference

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